

# **H-wave Documentation**

Release 1.0.0

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#### **CHAPTER**

## **ONE**

## **BASIC USAGE**

## • Prerequisite

H-wave requires the following programs and libraries:

- python 3.x
- numpy module
- scipy module
- requests module
- tomli module

Note that numpy.fft is used for FFT calculations in H-wave UHFk and rpa modes.

- · Official Page
  - GitHub repository
  - Sample/Tutorial
- Installation
  - From PyPI:

H-wave is available from PyPI package repository as follows:

```
$ pip install h-wave
```

- From source:

H-wave source archive can be obtained from the release site:

https://github.com/issp-center-dev/H-wave/releases

The latest version is available from the development site using git:

```
$ git clone https://github.com/issp-center-dev/H-wave.git
```

Once the source files are obtained, you can install H-wave by running the following command. The required libraries will also be installed at the same time.

```
$ cd ./H-wave
$ pip install .
```

· Directory structure

```
|-- LICENSE
|-- README.md
|-- pyproject.toml
|-- docs/
   |-- en/
    |-- ja/
    |-- tutorial/
|-- src/
   |-- qlms.py
    |-- hwave/
        |-- __init__.py
        |-- qlms.py
        |-- qlmsio/
            |-- __init__.py
            |-- read_input.py
            |-- read_input_k.py
            |-- wan90.py
        |-- solver/
            |-- __init__.py
            |-- base.py
            |-- uhfr.py
            |-- uhfk.py
            |-- rpa.py
            |-- perf.py
|-- tests/
```

#### · Basic usage

#### 1. Prepare input files

First, you need to create input files for H-wave that are an input file that specify calculation conditions, and the definition files for the Hamiltonian. To generate the definition files, it will be convenient to use StdFace library. A brief description of these files is given in Tutorial section. You may consult File format sections for the details.

#### 2. Run

Run the H-wave program by typing the following command in the directory where the input files are placed, and the calculation will be launched.

```
$ hwave input.toml
```

or

```
$ python3 path_to_H-wave/qlms.py input.toml
```

When the calculation is completed, the results will be written in the output directory. See File format sections for the details of the output files.

**CHAPTER** 

**TWO** 

# **COORDINATE-SPACE UHF (UHFR)**

## 2.1 Tutorial

To use H-wave, you need to prepare the input files:

- 1. parameter file to set calculation conditions,
- 2. definition files of the Hamiltonian,
- 3. specifications to output the results,

before performing calculations. In the following, we will provide a tutorial using a sample in docs/tutorial/Hubbard/UHFr directory. The interaction definition files can be generated using StdFace library. See *Generation of interaction files using StdFace library* section for the details.

## 2.1.1 Create a parameter file

The parameter file contains information to control inputs and outputs of the program. An example is given in the directory docs/tutorial/Hubbard/UHFr by the name input.toml. The content of the file will be as follows:

```
[log]
print_level = 1
print_step = 20
[mode]
mode = "UHFr"
[mode.param]
Nsite = 8
2Sz = 0
Ncond = 8
IterationMax = 1000
EPS = 8
RndSeed = 123456789
T = 0.0
[file]
[file.input]
path_to_input = ""
OneBodyG = "greenone.def"
[file.input.interaction]
Trans = "trans.def"
CoulombIntra = "coulombintra.def"
[file.output]
path_to_output = "output"
```

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```
energy = "energy.dat"
eigen = "eigen"
green = "green.dat"
```

The parameter file is described in TOML format.

In the log section, print\_level specifies the level of the standard output, and print\_step specifies the number of steps between printing logs.

In the mode section, the calculation mode and the basic parameters are specified.

In the file.input section, path\_to\_input specifies the directory in which input files are located, OneBodyG specifies the definition file of the one-body Green's function to output, and Initial specifies the initial configuration. If OneBodyG is missing, the Green's function will not be exported. When Initial is not specified, a random configuration will be generated for the initial state.

In the file.input.interaction section, the input files to define Hamiltonian are specified.

In the file.output section, path\_to\_output specifies the directory to which the results will be written. energy specifies the filename for the energy, eigen specifies the filename for the eigenvalues and eigenvectors of the Hamiltonian, and green specifies the filename for the one-body Green's function. If these keywords are missing, the corresponding results will not be exported.

See Parameter file section for the details.

#### 2.1.2 Create definition files for Hamiltonian

Next, we will create input files that define the Hamiltonian.

#### Transfer term

The input file associated with a keyword Trans (trans.def in this tutorial) provides definitions of Hamltonian for Transfer term of the electron system:

$$\mathcal{H} = -\sum_{ij\sigma_1\sigma_2} t_{ij\sigma_1\sigma_2} c_{i\sigma_1}^{\dagger} c_{j\sigma_2}. \tag{2.1}$$

The content of the file is as follows:

====== NTransfe	===== er	64	====		
======	=====	=====	====		
======	=i_j_s_	_tijs==	====		
======= 4	-===== 0	-===== 0	===== 0	1.000000000000000	0.0000000000000
0	0	4	0	1.000000000000000	-0.00000000000000
4	1	0	1	1.000000000000000	0.00000000000000
0	1	4	1	1.000000000000000	-0.00000000000000
2	0	0	0	1.000000000000000	0.00000000000000
0	0	2	0	1.000000000000000	-0.00000000000000
2	1	0	1	1.000000000000000	0.00000000000000
0	1	2	1	1.000000000000000	-0.00000000000000

See *Trans file* for the details.

#### Two-body interaction term

In this tutorial, we consider a two-body interaction Hamiltonian of the electron system of the form:

$$\mathcal{H} = \sum_{i} U_{i} n_{i\uparrow} n_{i\downarrow}. \tag{2.2}$$

The definition is given in the file associated with the keyword CoulombIntra (coulombintra.def in the present case). The content of the file is as follows:

There are a number of keywords provided to concicely describe the Hamiltonian, besides CoulombIntra. See sections *InterAll file - PairLift file* for the details.

## 2.1.3 Specify output components

Next, we will provide the files that describe the output components.

#### Setting indices of one-body Green's functions

A file associated with the keyword OneBodyG (greenone.def in this tutorial) specifies the indices of one-body Green's functions to be calculated  $\langle c_{i\sigma_1}^{\dagger} c_{j\sigma_2} \rangle$ . The content of the file will be as follows:

NCisAjs		16	
=======	Gree	n func	
0	0	0	0
0	0	1	0
0	0	2	0
0	0	3	0
0	0	4	0

See OneBodyG file for the details of the file format to specify indices of the one-body Green's functions.

2.1. Tutorial 5

#### 2.1.4 Run

All the input files have been created, and we are ready to run the program. Type in the command with the parameter file (input.toml in this tutorial) as an argument:

```
$ hwave input.toml
```

The calculation is launched, and the logs will be shown as follows:

```
2022-12-01 09:37:30,114 INFO qlms: Read def files
2022-12-01 09:37:30,116 INFO qlms: Get Hamiltonian information
2022-12-01 09:37:30,116 INFO glms: Get Green function information
2022-12-01 09:37:30,116 INFO glms.uhfr: Show input parameters
    Nsite
                                              : 8
    Ncond
                                               : 8
    2Sz
                                               : 0
                                              : 0.5
    Mix
    EPS
                                             : 1e-08
    IterationMax
                                            : 1000
    RndSeed
                                              : 123456789
                                             : 0.0
                                            : 100.0
    ene_cutoff
    threshold
                                             : 1e-12
2022-12-01 09:37:30,117 INFO qlms: Start UHF calculation
2022-12-01 09:37:30,117 INFO qlms.uhfr: Set Initial Green's functions
2022-12-01 09:37:30,117 INFO qlms.uhfr: Initialize green function by random numbers
2022-12-01 09:37:30,117 INFO qlms.uhfr: Start UHFr calculations
2022-12-01 09:37:30,117 INFO qlms.uhfr: step, rest, energy, NCond, Sz
2022-12-01 09:37:30,119 INFO qlms.uhfr: 0, 0.022144468, -27.16081+0j, 8, -7.425e-16
2022-12-01 09:37:30,134 INFO qlms.uhfr: 20, 1.2083848e-05, -3.399532+0j, 8, -1.055e-15
2022-12-01 09:37:30,145 INFO qlms.uhfr: UHFr calculation is succeeded: rest=5.
→7552848630056134e-09, eps=1e-08.
2022-12-01 09:37:30,145 INFO glms: Save calculation results.
2022-12-01 09:37:30,146 INFO qlms: All procedures are finished.
______
Statistics
                                                                       : total elapsed : average elapsed : ncalls
______
   hwave.solver.uhfr.__init__ : 0.357 msec : 0.357 msec : hwave.solver.uhfr._initial_G : 0.090 msec : 0.090 msec : hwave.solver.uhfr._makeham_const : 0.839 msec : 0.839 msec : hwave.solver.uhfr._makeham_mat : 0.309 msec : 0.309 msec : hwave.solver.uhfr._makeham : 6.001 msec : 0.176 msec : hwave.solver.uhfr._diag : 2.468 msec : 0.073 msec : hwave.solver.uhfr._green : 3.107 msec : 0.091 msec : hwave.solver.uhfr._calc_energy : 1.990 msec : 0.059 msec : hwave.solver.uhfr._calc_phys : 12.929 msec : 0.380 msec : hwave.solver.uhfr.solve : 28.290 msec : 28.290 msec : hwave.solver.uhfr.solve : 28.290 msec : 0.852 msec : 
                                                                                                                                                                          1
                                                                                                                                                                       1
                                                                                                                                                                        34
                                                                                                                                                                       34
    hwave.solver.uhfr.save_results : 0.852 msec : 0.852 msec :
```

The log messages on reading the input files are presented, followed by the information on the process of UHF calculations. The results are written in the output directory, according to the settings in file.output section of the input toml file: energy.dat for the eigenvalues, spin-up\_eigen.npz and spin-down\_eigen.npz for the eigenvectors,

and green.dat for the one-body Green's functions. See *Output files for UHFr* section for the details of the output files.

# 2.2 File specifications

## 2.2.1 Parameter file

The parameter file specifies calculation conditions of H-wave in TOML format. This file consists of these three sections:

- 1. mode section to set calculation mode,
- 2. log section to set standard log output,
- 3. file section to set file and directory paths. This section consists of two subsections, input and output.

A sample file reads as follows:

```
[log]
print_level = 1
print_step = 20
[mode]
mode = "UHFr"
[mode.param]
Nsite = 8
2Sz = 0
Ncond = 8
IterationMax = 1000
EPS = 8
RndSeed = 123456789
T = 0.0
[file]
[file.input]
path_to_input = ""
OneBodyG = "greenone.def"
[file.input.interaction]
Trans = "trans.def"
CoulombIntra = "coulombintra.def"
[file.output]
path_to_output = "output"
energy = "energy.dat"
eigen = "eigen"
green = "green.dat"
```

#### File format

**TOML** 

#### **Parameters**

#### mode section

• mode

Type: String

**Description:** This parameter specifies calculation mode. Set to UHFr when using coordinate-space UHF.

• flag\_fock

Type: Boolean (default value is true)

**Description:** When this parameter is true, the Fock term is considered. If it is false, only the Hartree term is considered.

#### mode.param section

mode.param section sets parameters of the calculation.

T

**Type:** Float (default value is **0**)

**Description:** This parameter specifies temperature. It must be greater than or equal to zero.

2Sz

**Type:** Integer, String, or None (default value is None)

**Description:** Twice the size of the z component of the total spin is specified when it is set to a fixed value. In this case, the up and down spin components are calculated separately. If this parameter is not given, or it is set to "free", the spin space is not separated in the calculation.

This parameter should not be specified when Sz is not conserved (e.g. when the spin-orbital interaction is present).

2Sz takes a value between -Nsite and Nsite.

• Nsite

Type: Integer

**Description:** This parameter specifies the number of sites. It must be greater than or equal to one.

• Ncond

Type: Integer

**Description:** This parameter specifies the number of conduction electrons. It must be greater than or equal to one.

• filling

Type: Float

**Description:** This parameter specifies the filling ratio of electrons with respect to the number of states. It must be between 0 and 1. Both Ncond and filling are specified, the program will be terminated with error.

• Ncond\_round\_mode

**Type:** String (default value is "strict")

**Description :** This parameter specifies how the number of electrons calculated from the filling parameter is rounded to an integer value. The parameter must take one of the following values.

- as-is: the value is not rounded to an integer. (returns a floating-point number)
- round-up: the value is rounded up.
- round-down: the value is rounded down.
- round-off: the value is rounded to the closest integer. (0.5 is rounded up.)
- round: the value is rounded by round function. (0.5 is rounded down.)
- strict: if the value is not an integer value, the program terminates with error.
- exact: if the value is not an integer value, a warning message will be shown and the value is rounded to an integer as round.
- IterationMax

Type: Integer (default value is 20000)

**Description :** This parameter specifies the maximum number of iterations. It must be greater than or equal to zero.

EPS

**Type:** Integer (default value is 6)

**Description :** This parameter specifies the convergence criterion. The solver iteration will be terminated when the norm of the difference between the previous and new Green's function falls below  $10^{-\text{EPS}}$ . The residue is defined by  $R = \sum_{i,j}^{N} \sqrt{\left|G_{ij}^{\text{new}} - G_{ij}^{\text{old}}\right|^2}/2N^2$ . It must be greater than or equal to zero.

Mix

**Type:** Float (default value is **0.5**)

**Description:** This parameter specifies the ratio  $\alpha$  of simple-mixing when the Green's function is updated by the previous and the new one. It must be between 0 and 1. If it is set to 1, the previous value will not be mixed. See *Algorithms* section for simple-mixing algorithm.

RndSeed

Type: Integer (default value is 1234)

**Description:** This parameter specifies the seed of random numbers.

ene\_cutoff

**Type:** Float (default value is 100.0)

**Description :** This parameter specifies a cut-off to avoid overflow when the Fermi distribution function is calculated.

• strict\_hermite

**Type:** Boolean (default value is false)

**Description:** This parameter specifies strictness of Hermiticity checks when the interaction definitions are read from files. If it is set to true, the program stops when the deviation larger than hermite\_tolerance is detected. If it is set to false, a warning message will be shown and the program execution continues.

• hermite\_tolerance

**Type:** Float (default value is  $10^{-8}$ )

**Description:** This parameter specifies the tolerance of the deviation from Hermiticity condition  $|t_{ij} - t_{ii}^*| < \varepsilon$ .

#### log section

• print\_level

**Type:** Integer (default value is 1)

**Description :** This parameter specifies verbosity of the standard log output. When it is set to 1, the detailed information will be printed.

• print\_step

**Type:** Integer (default value is 1)

**Description :** This parameter specifies the interval between outputs of calculation logs to the standard output during iterations. It must be greater than or equal to one.

• print\_check

**Type:** String

**Description :** This parameter specifies the output logfile to which the calculation logs are written during the iterations besides the standard output. If it is not given, the logs are not exported to files.

#### file section

This section consists of input and output subsections. The former specifies settings on input files (e.g. locations and names of files), while the latter on output files, as described below.

#### file.input section

• path\_to\_input

Type: String (default value is "")

**Description:** This parameter specifies the directory in which the input files are located.

• Initial

**Type:** String (default value is "")

**Description:** This parameter specifies the input file for the initial configuration.

• OneBodyG

**Type:** String (default value is "")

**Description :** This parameter specifies the input file that contains a list of indices of one-body Green's function to export.

#### file.input.interaction section

• Trans

**Type:** String (default value is "")

**Description:** This parameter specifies the input file for general one-body interaction term.

• InterAll

**Type:** String (default value is "")

**Description :** This parameter specifies the input file for generalized two-body interaction term.

• CoulombIntra

**Type:** String (default value is "")

**Description:** This parameter specifies the input file for on-site Coulomb interaction term.

• CoulombInter

Type: String (default value is "")

**Description :** This parameter specifies the input file for inter-site Coulomb interaction term.

• Hund

**Type:** String (default value is "")

**Description:** This parameter specifies the input file for Hund interaction term.

• PairHop

**Type:** String (default value is "")

**Description:** This parameter specifies the input file for pair-hopping term.

Exchange

**Type:** String (default value is "")

**Description :** This parameter specifies the input file for exchange interaction term.

• Ising

**Type:** String (default value is "")

**Description:** This parameter specifies the input file for Ising interaction term.

• PairLift

**Type:** String (default value is "")

**Description :** This parameter specifies the input file for pair-lift interaction term.

#### file.output section

• path\_to\_output

**Type:** String (default value is "output")

**Description:** This parameter specifies the directory to store the output files.

energy

Type: String

**Description:** This parameter specifies the output file for energies. If it is not given, the output is not exported.

• eigen

Type: String

**Description:** This parameter specifies the output file for eigenvalues of Hamiltonian. If it is not given, the output is not exported.

• green

Type: String

**Description:** This parameter specifies the output file for one-body Green's function. If it is not given, the output is not exported.

• initial

**Type:** String

**Description:** This parameter specifies the output file for one-body Green's function in a format suitable for the initial configuration. If it is not given, the output is not exported.

• fij

**Type:** String

**Description:** This parameter specifies the output file for pair-orbital factor  $f_{ij}$ . If it is not given, the output is not exported.

## 2.2.2 Input files for UHFr

In this section, the input files (\*.def) used in H-wave are described. They are divided into two types.

(1) Hamiltonian

The Hamiltonian is given in the form of interactions of the electron system. They are defined in these files.

**Trans** gives the one-body part expressed by  $c_{i\sigma_1}^{\dagger}c_{i\sigma_2}^{\phantom{\dagger}}$ .

**InterAll** gives the generalized two-body interactions expressed by  $c^{\dagger}_{i\sigma_1}c_{j\sigma_2}c^{\dagger}_{k\sigma_3}c_{l\sigma_4}$ .

Besides, we can specify by the following keywords the interactions that are frequently used.

**CoulombIntra** gives the on-site Coulomb interaction expressed by  $n_{i\uparrow}n_{i\downarrow}$ , where  $n_{i\sigma}=c_{i\sigma}^{\dagger}c_{i\sigma}$ .

**CoulombInter** gives the inter-site Coulomb interaction expressed by  $n_i n_j$ , where  $n_i = n_{i\uparrow} + n_{i\downarrow}$ .

**Hund** gives the Hund interaction expressed by  $n_{i\uparrow}n_{j\uparrow} + n_{i\downarrow}n_{j\downarrow}$ .

**PairHop** gives the pair-hop interaction expressed by  $c^{\dagger}_{i\uparrow}c_{j\uparrow}c^{\dagger}_{i\downarrow}c_{j\downarrow}$ .

**Exchange** gives the exchange interaction expressed by  $S_i^+ S_j^-$ .

**Ising** gives the Ising interaction expressed by  $S_i^z S_i^z$ .

 $\textbf{PairLift} \text{ gives the pair-lift interaction expressed by } c^{\dagger}_{i\uparrow}c_{i\downarrow}c^{\dagger}_{j\uparrow}c_{j\downarrow}.$ 

(2) Green's functions

Initial specifies the one-body Green's function for the initial configuration,  $\langle c^\dagger_{i\sigma_1}c_{j\sigma_2}\rangle$ .

**OneBodyG** specifies the indices of the one-body Green's function  $\langle c_{i\sigma_1}^\dagger c_{j\sigma_2} \rangle$  to be exported.

The details of the file format for each input file is described in the following subsections.

#### **Trans file**

This file determines the coefficients of the transfer integrals  $t_{ij\sigma_1\sigma_2}$  in the Hamiltonian

$$\mathcal{H} = -\sum_{ij\sigma_1\sigma_2} t_{ij\sigma_1\sigma_2} c_{i\sigma_1}^{\dagger} c_{j\sigma_2}. \tag{2.3}$$

An example of the file format is presented below.

=====	====			====		
NTrar	sfer	r	24			
=====	====	====	======= +:::c	====		
=====	===,	L_J_S =====	s_tijs== =======	====		
0	)	0	2	0	1.000000	0.000000
2	:	0	0	0	1.000000	0.000000
0	)	1	2	1	1.000000	0.000000
2	:	1	0	1	1.000000	0.000000
2	:	0	4	0	1.000000	0.000000
4	ŀ	0	2	0	1.000000	0.000000
2	:	1	4	1	1.000000	0.000000
4	ŀ	1	2	1	1.000000	0.000000
4	ŀ	0	6	0	1.000000	0.000000
6	•	0	4	0	1.000000	0.000000
4	ŀ	1	6	1	1.000000	0.000000
6	<b>,</b>	1	4	1	1.000000	0.000000
6	<b>,</b>	0	8	0	1.000000	0.000000
8	;	0	6	0	1.000000	0.000000

## File format

• Line 1: Header

• Line 2: [ntransfer] [count]

• Lines 3-5: Header

• Lines 6-: [i] [s1] [j] [s2] [v.real] [v.imag]

## **Parameters**

• [ntransfer]

Type: String (blank is not allowed)

**Description:** An arbitrary keyword for the total number of transfer integrals.

• [count]

**Type:** Integer (blank is not allowed)

**Description:** An integer giving the total number of transfer integrals.

• [i], [j]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving a site index  $(0 \le i, j < \text{Nsite})$ .

• [s1], [s2]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving a spin index: either 0 (up-spin) or 1 (down-spin).

• [v.real], [v.imag]

**Type:** Float (blank is not allowed)

**Description :** Values for the real and imaginary parts of  $t_{ij\sigma_1\sigma_2}$ , respectively.

- Headers cannot be omitted.
- Since the Hamiltonian should be hermite, the coefficients must satisfy the relation  $t_{ij\sigma_1\sigma_2}=t_{ji\sigma_2\sigma_1}^{\dagger}$ . Otherwise, the program is terminated or a warning is reported, depending on the strict\_hermite parameter.
- The program is terminated with error if there are duplicated entries.
- The program is terminated with error when the number of entries is different from [count].
- The program is terminated with error if [i], [j], [s1], or [s2] are outside the range of the defined values.

#### InterAll file

This file determines the coefficients of the generalized two-body interaction integrals  $I_{ijkl\sigma_1\sigma_2\sigma_3\sigma_4}$  of the Hamiltonian

$$\mathcal{H} = \sum_{i,j,k,l} \sum_{\sigma_1,\sigma_2,\sigma_3,\sigma_4} I_{ijkl\sigma_1\sigma_2\sigma_3\sigma_4} c_{i\sigma_1}^{\dagger} c_{j\sigma_2} c_{k\sigma_3}^{\dagger} c_{l\sigma_4}. \tag{2.4}$$

An example of the file format is presented below.

====		=====		====					
NIn	terAl	1	36						
====	====	=====	.====	====					
====			rAll=						
0	0	0	1	1	1	1	0	0.50	0.0
0	1	0	0	1	0	1	1	0.50	0.0
0	0	0	0	1	0	1	0	0.25	0.0
0	0	0	0	1	1	1	1	-0.25	0.0
0	1	0	1	1	0	1	0	-0.25	0.0
0	1	0	1	1	1	1	1	0.25	0.0
2	0	2	1	3	1	3	0	0.50	0.0
2	1	2	0	3	0	3	1	0.50	0.0
2	0	2	0	3	0	3	0	0.25	0.0
2	0	2	0	3	1	3	1	-0.25	0.0
2	1	2	1	3	0	3	0	-0.25	0.0
2	1	2	1	3	1	3	1	0.25	0.0
4	0	4	1	5	1	5	0	0.50	0.0
4	1	4	0	5	0	5	1	0.50	0.0
4	0	4	0	5	0	5	0	0.25	0.0
4	0	4	0	5	1	5	1	-0.25	0.0
4	1	4	1	5	0	5	0	-0.25	0.0
4	1	4	1	5	1	5	1	0.25	0.0

#### File format

• Line 1: Header

• Line 2: [ninterall] [count]

• Lines 3-5: Header

• Lines 6-: [i] [s1] [j] [s2] [k] [s3] [l] [s4] [v.real] [v.imag]

## **Parameters**

• [ninterall]

**Type:** String (blank is not allowed)

**Description :** An arbitrary keyword for the total number of the two-body interactions.

• [count]

**Type:** Integer (blank is not allowed)

**Description:** An integer giving the total number of the two-body interactions.

• [i], [j], [k], [l]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving a site index  $(0 \le i, j, k, l < \text{Nsite})$ .

• [s1], [s2], [s3], [s4]

**Type:** Integer (blank is not allowed)

**Description:** An integer giving a spin index: either 0 (up-spin) or 1 (down-spin).

• [v.real], [v.imag]

**Type:** Float (blank is not allowed)

**Description :** Values for the real and imaginary parts of  $I_{ijkl\sigma_1\sigma_2\sigma_3\sigma_4}$ , respectively.

#### **Usage ruels**

• Headers cannot be omitted.

- Since the Hamiltonian should be hermite, the coefficients must satisfy the relation  $I_{ijkl\sigma_1\sigma_2\sigma_3\sigma_4}=I^\dagger_{lkji\sigma_4\sigma_3\sigma_2\sigma_1}$ . Otherwise, the program is terminated or a warning is reported, depending on the strict\_hermite parameter. It is noted that the term of the Hermite conjugate for  $I_{ijkl\sigma_1\sigma_2\sigma_3\sigma_4}c^\dagger_{i\sigma_1}c_{j\sigma_2}c^\dagger_{k\sigma_3}c_{l\sigma_4}$  should be read  $I_{lkji\sigma_4\sigma_3\sigma_2\sigma_1}c^\dagger_{l\sigma_4}c_{k\sigma_3}c^\dagger_{j\sigma_2}c_{i\sigma_1}$ .
- The program is terminated with error if there are duplicated entries.
- The program is terminated with error when the number of entries is different from [count].
- The program is terminated with error if [i], [j], [k], [1], [s1], [s2], [s3], or [s4] are outside the range of the defined values.

#### CoulombIntra file

This file determines the coefficients of the on-site Coulomb interactions given by

$$\mathcal{H} = \sum_{i} U_{i} n_{i\uparrow} n_{i\downarrow}. \tag{2.5}$$

An example of the file format is presented below.

#### File format

• Line 1: Header

• Line 2: [ncoulombintra] [count]

• Lines 3-5: Header

• Lines 6-: [i] [val]

#### **Parameters**

• [ncoulombintra]

**Type:** String (blank is not allowed)

**Description:** An arbitrary keyword for the total number of the on-site Coulomb interactions.

• [count]

Type: Integer (blank is not allowed)

**Description:** An integer giving the total number of the on-site Coulomb interactions.

• [i]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving a site index  $(0 \le i < \text{Nsite})$ .

• [val]

**Type:** Float (blank is not allowed)

**Description :** A value for  $U_i$ .

- Headers cannot be omitted.
- The program is terminated with error if there are duplicated entries.
- The program is terminated with error when the number of entries is different from [count].
- The program is terminated with error if [i] is outside the range of the defined value.

#### CoulombInter file

This file determines the coefficients of the off-site Coulomb interactions given by

$$\mathcal{H} = \sum_{i,j} V_{ij} n_i n_j. \tag{2.6}$$

An example of the file format is presented below.

#### File format

• Line 1: Header

• Line 2: [ncoulombinter] [count]

• Lines 3-5: Header

• Lines 6-: [i] [j] [val]

## **Parameters**

• [ncoulombinter]

**Type:** String (blank is not allowed)

**Description:** An arbitrary keyword for the total number of the off-site Coulomb interactions.

• [count]

**Type:** Integer (blank is not allowed)

**Description:** An integer giving the total number of the off-site Coulomb interactions.

• [i], [j]

Type: Integer (blank is not allowed)

**Description :** An integer giving a site index  $(0 \le i, j < \text{Nsite})$ .

• [val]

**Type:** Float (blank is not allowed)

**Description :** A value for  $V_{ij}$ .

- Headers cannot be omitted.
- The program is terminated with error if there are duplicated entries.
- The program is terminated with error when the number of entries is different from [count].
- The program is terminated with error if [i] or [j] are outside the range of the defined values.

#### **Hund file**

This file determines the coefficients of the Hund couplings given by

$$\mathcal{H} = -\sum_{i,j} J_{ij}^{\text{Hund}} (n_{i\uparrow} n_{j\uparrow} + n_{i\downarrow} n_{j\downarrow}). \tag{2.7}$$

An example of the file format is presented below.

#### File format

• Line 1: Header

• Line 2: [nhund] [count]

• Lines 3-5: Header

• Lines 6-: [i] [j] [val]

## **Parameters**

• [nhund]

**Type:** String (blank is not allowed)

**Description:** An arbitrary keyword for the total number of the Hund couplings.

• [count]

**Type:** Integer (blank is not allowed)

**Description:** An integer giving the total number of the Hund couplings.

• [i], [j]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving a site index  $(0 \le i, j < \text{Nsite})$ .

• [val]

**Type:** Float (blank is not allowed) **Description:** A value for  $J_{ij}^{\text{Hund}}$ .

- Headers cannot be omitted.
- The program is terminated with error if there are duplicated entries.
- The program is terminated with error when the number of entries is different from [count].
- The program is terminated with error if [i] or [j] are outside the range of the defined values.

## PairHop file

This file determines the coefficients of the pair-hopping couplings given by

$$\mathcal{H} = \sum_{i,j} J_{ij}^{\text{Pair}} (c_{i\uparrow}^{\dagger} c_{j\uparrow} c_{i\downarrow}^{\dagger} c_{j\downarrow} + h.c.). \tag{2.8}$$

An example of the file format is presented below.

## File format

• Line 1: Header

• Line 2: [npairhop] [count]

• Lines 3-5: Header

• Lines 6-: [i] [j] [val]

## **Parameters**

• [npairhop]

**Type:** String (blank is not allowed)

**Description :** An arbitrary keyword for the total number of the pair-hopping couplings.

• [count]

**Type:** Integer (blank is not allowed)

**Description:** An integer giving the total number of the pair-hopping couplings.

• [i], [j]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving a site index  $(0 \le i, j < \text{Nsite})$ .

• [val]

**Type:** Float (blank is not allowed) **Description:** A value for  $J_{ij}^{\text{Pair}}$ .

- Headers cannot be omitted.
- The program is terminated with error if there are duplicated entries.
- The program is terminated with error when the number of entries is different from [count].
- The program is terminated with error if [i] or [j] are outside the range of the defined values.

## **Exchange file**

This file determines the coefficients of the exchange couplings given by

$$\mathcal{H} = \sum_{i,j} J_{ij}^{\text{Ex}} (c_{i\uparrow}^{\dagger} c_{j\uparrow} c_{j\downarrow}^{\dagger} c_{i\downarrow} + c_{i\downarrow}^{\dagger} c_{j\downarrow} c_{j\uparrow}^{\dagger} c_{i\uparrow}). \tag{2.9}$$

An example of the file format is presented below.

#### File format

• Line 1: Header

• Line 2: [nexchange] [count]

• Lines 3-5: Header

• Lines 6-: [i] [j] [val]

## **Parameters**

• [nexchange]

**Type:** String (blank is not allowed)

**Description :** An arbitrary keyword for the total number of the exchange couplings.

• [count]

**Type:** Integer (blank is not allowed)

**Description:** An integer giving the total number of the exchange couplings.

• [i], [j]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving a site index  $(0 \le i, j < \text{Nsite})$ .

• [val]

**Type:** Float (blank is not allowed) **Description:** A value for  $J_{ij}^{\text{Ex}}$ .

- Headers cannot be omitted.
- The program is terminated with error if there are duplicated entries.
- The program is terminated with error when the number of entries is different from [count].
- The program is terminated with error if [i] or [j] are outside the range of the defined values.

## Ising file

This file determines the coefficients of the Ising interactions given by

$$\mathcal{H} + = \sum_{i,j} J_{ij}^z (n_{i\uparrow} - n_{i\downarrow})(n_{j\uparrow} - n_{j\downarrow})$$
(2.10)

An example of the file format is presented below.

#### File format

• Line 1: Header

• Lines 2: [nising] [count]

• Lines 3-5: Header

• Lines 6-: [i] [j] [val]

## **Parameters**

• [nising]

**Type:** String (blank is not allowed)

**Description :** An arbitrary keyword for the total number of the Ising interactions.

• [count]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving the total number of the Ising interactions.

• [i], [j]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving a site index  $(0 \le i, j < \text{Nsite})$ .

• [val]

**Type:** Float (blank is not allowed)

**Description :** A value for  $J_{ij}^z$ .

- Headers cannot be omitted.
- The program is terminated with error if there are duplicated entries.
- The program is terminated with error when the number of entries is different from [count].
- The program is terminated with error if [i] or [j] are outside the range of the defined values.

#### PairLift file

This file determines the coefficients of the pair-lift couplings given by

$$\mathcal{H} = \sum_{i,j} J_{ij}^{\text{PairLift}} (c_{i\uparrow}^{\dagger} c_{i\downarrow} c_{j\uparrow}^{\dagger} c_{j\downarrow} + c_{i\downarrow}^{\dagger} c_{i\uparrow} c_{j\downarrow}^{\dagger} c_{j\uparrow}). \tag{2.11}$$

An example of the file format is presented below.

#### File format

• Line 1: Header

• Line 2: [npairlift] [count]

• Lines 3-5: Header

• Lines 6-: [i] [j] [val]

## **Parameters**

• [npairlift]

**Type:** String (blank is not allowed)

**Description:** An arbitrary keyword for the total number of the pair-lift couplings.

• [count]

**Type:** Integer (blank is not allowed)

**Description:** An integer giving the total number of the pair-lift couplings.

• [i], [j]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving a site index  $(0 \le i, j < \text{Nsite})$ .

• [val]

**Type:** Double (blank is not allowed) **Description:** A value for  $J_{ij}^{\text{PairLift}}$ .

- Headers cannot be omitted.
- The program is terminated with error if there are duplicated entries.
- The program is terminated with error when the number of entries is different from [count].
- The program is terminated with error if [i] or [j] are outside the range of the defined values.

#### **Initial file**

This file contains the values of Green's function  $G_{ij\sigma_1\sigma_2} \equiv \langle c_{i\sigma_1}^\dagger c_{j\sigma_2} \rangle$  to be read for an initial configuration. The unspecified elements are assumed to be zero. The file format is the same as that of the green output file.

An example of the file format is presented below.

```
      0
      0
      0
      0.9517526553947047
      0.0

      0
      0
      1
      0
      -0.03971951040016314
      0.0

      0
      0
      2
      0
      0.09202884754223833
      0.0

      0
      0
      3
      0
      -0.039719448981075135
      0.0

      0
      0
      4
      0
      0.09202884754219534
      0.0

      0
      0
      5
      0
      -0.03971947216145664
      0.0

      0
      0
      6
      0
      0.09202884753253462
      0.0

      0
      0
      7
      0
      0.09202884754259735
      0.0

      0
      1
      0
      1
      0.04824734460529617
      0.0

      0
      1
      1
      0.03971951040016307
      0.0

      . . . .
```

#### File format

• [i] [s1] [j] [s2] [v.real] [v.imag]

#### **Parameters**

• [i], [j]

Type: Integer

**Description :** An integer giving a site index  $(0 \le i, j < \text{Nsite})$ .

• [s1], [s2]

Type: Integer

**Description :** An integer giving a spin index: either 0 (up-spin) or 1 (down-spin).

• [v.real], [v.imag]

Type: Float

**Description :** Values for the real and imaginary parts of  $\langle c_{i\sigma_1}^{\dagger} c_{j\sigma_2}^{\phantom{\dagger}} \rangle$ , respectively.

## OneBodyG file

This file determines the list of indices of the one-body Green's function  $\langle c^\dagger_{i\sigma_1}c_{j\sigma_2}\rangle$  to be exported. An example of the file format is presented below.

=======				======
NCisAjs		24		
=======				
======				
0	====== 0	0	·===== 0	======
0	1	0	1	
1	0	1	0	
1	1	1	1	
2	0	2	0	
2	1	2	1	
3	0	3	0	
3	1	3	1	
4	0	4	0	
4	1	4	1	
5	0	5	0	
5	1	5	1	
6	0	6	0	
6	1	6	1	
7	0	7	0	
7	1	7	1	
8	0	8	0	
8	1	8	1	
9	0	9	0	
9	1	9	1	
10	0	10	0	
10	1	10	1	
11	0	11	0	
11	1	11	1	

## File format

- Line 1: Header
- Line 2: [ncisajs] [count]
- Lines 3-5: Header
- Lines 6-: [i] [s1] [j] [s2]

## **Parameters**

• [ncisajs]

**Type:** String (blank is not allowed)

**Description:** An arbitrary keyword for the total number of components of the one-body Green's functions.

• [count]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving the total number of components of the one-body Green's functions.

• [i], [j]

**Type:** Integer (blank is not allowed)

**Description :** An integer giving a site index  $(0 \le i, j < \text{Nsite})$ .

• [s1], [s2]

**Type:** Integer (blank is not allowed)

**Description:** An integer giving a spin index: either 0 (up-spin) or 1 (down-spin).

# **Usage rules**

- Headers cannot be omitted.
- The program is terminated with error if there are duplicated entries.
- The program is terminated with error when the number of entries is different from [count].
- The program is terminated with error if [i], [j], [s1], or [s2] are outside the range of the defined values.

# 2.2.3 Output files for UHFr

In the coordinate-space UHF (UHFr) calculation, the energy, the eigenvalues and eigenvectors, and the one-body Green's functions are exported. In this section, the file formats of these outputs are described.

## **Energy**

The values of energy, number of particles, and spin obtained by the calculations of the UHF method are written to this file. The filename is specified by a keyword energy in file.output section of the input parameter file. An example of the output is presented below.

```
Energy_total = -5.88984624257707

Energy_band = -0.9265413257740396

Energy_interall = -4.963304916803031

NCond = 8.000000000000007

Sz = 3.2822430107160017e-07
```

### File format

- Energy\_total = [energy\_total]
- Energy\_band = [energy\_band]
- Energy\_interall = [energy\_interall]
- NCond = [ncond]
- Sz = [sz]

## **Parameters**

• [energy\_total]

**Type:** Float

**Description :** The value of the total energy which is calculated using the eigenvectors obtained by the UHF method.

• [energy\_band]

Type: Float

**Description:** The value of the energy which is derived from the eigenvalues of the Hamiltonian obtained by the UHF mothod.

• [energy\_interall]

Type: Float

**Description :** The value of the energy of the interaction terms.

• [ncond]

Type: Float

**Description :** The expectation value of the number of particles,  $\sum_i \langle n_i \rangle$ .

• [sz]

**Type:** Float

**Description :** The expectation value of the z component of the total spin,  $S_z = \sum_i \langle (n_{i\uparrow} - n_{i\downarrow}) \rangle/2$  .

#### eigen

The eigenvalues and eigenvectors of the Hamiltonian obtained by the UHF method are exported in npz (numpy zip archive) format.

The filename is chosen, with the string specified for the eigen keyword in file.output section (indicated by *eigen\_str* hereafter), as {key}\_*eigen\_str*.npz, where {key} turns to be:

- sz-free if the parameter 2Sz is not specified in mode.param section,
- spin-up and spin-down otherwise. (yields two files)

The code shown below is an example for reading data from the file using Python.

```
import numpy as np
data = np.load("key_eigen_str.npz")
eigenvalue = data["eigenvalue"]
eigenvector = data["eigenvector"]
```

eigenvalue holds a list of eigenvalues in ascending order. The number of eigenvalues is N if 2Sz is specified, or 2N otherwise, for N being the total number of sites.

eigenvector holds the corresponding eigenvectors as a two-dimensional array: The first index refers to the site index i\_site and the spin index s\_spin (0 for up-spin, and 1 for down-spin) by:

- i\_site if 2Sz is specified, or
- i\_site + s\_spin \* N otherwise.

The second index refers to the index of the eigenvalues.

#### green

The one-body Green's function  $\langle c^{\dagger}_{i\sigma_1}c_{j\sigma_2}\rangle$  obtained by the UHF calculation is to be exported. The indices of the component to be written are specified by OneBodyG. The filename is associated with a keyword green in file.output section of the input parameter file. An example of the output is presented below.

```
      0
      0
      0
      0.9517526553947047
      0.0

      0
      0
      1
      0
      -0.03971951040016314
      0.0

      0
      0
      2
      0
      0.09202884754223833
      0.0

      0
      0
      3
      0
      -0.039719448981075135
      0.0

      0
      0
      4
      0
      0.09202884754219534
      0.0

      0
      0
      5
      0
      -0.03971947216145664
      0.0

      0
      0
      6
      0
      0.09202884753253462
      0.0

      0
      0
      7
      0
      0.09202884754259735
      0.0

      0
      1
      0
      1
      0.04824734460529617
      0.0

      0
      1
      1
      0.03971951040016307
      0.0
```

## File format

• [i] [s1] [j] [s2] [v.real] [v.imag]

#### **Parameters**

• [i], [j]

Type: Integer

**Description :** An integer giving a site index  $(0 \le i, j < \text{Nsite})$ .

• [s1], [s2]

Type: Integer

**Description:** An integer giving a spin index: either 0 (up-spin) or 1 (down-spin).

• [v.real], [v.imag]

Type: Float

**Description :** Values for the real and imaginary parts of  $\langle c^{\dagger}_{i\sigma_1}c_{j\sigma_2}\rangle$ , respectively.

**CHAPTER** 

THREE

# **WAVE-NUMBER SPACE UHF (UHFK)**

# 3.1 Tutorial

To use H-wave in wave-number space (UHFk), you need to prepare input files:

- 1. an input parameter file,
- 2. interaction definition files,

before running the program. For the latter, you can use the outputs of external programs such as RESPACK, or you may create the files using the StdFace library.

In the following, we provide a tutorial based on a sample in docs/tutorial/Hubbard/UHFk directory. The interaction definition files can be generated using StdFace library. See *Generation of interaction files using StdFace library* section for the details.

# 3.1.1 Create a parameter file

We prepare an input parameter file that contains basic parameters as well as settings on inputs and outputs. A sample file can be found in docs/tutorial/Hubbard/UHFk directory by a filename input.toml. The content of the file is as follows:

```
[log]
  print_level = 1
 print_step = 10
[mode]
 mode = "UHFk"
[mode.param]
  \# 2Sz = 0
 Ncond = 16
  IterationMax = 1000
 EPS = 8
 Mix = 0.5
 RndSeed = 123456789
  \# ene_cutoff = 1.0e+2
 T = 0.0
 CellShape = [4, 4, 1]
  SubShape = [2, 2, 1]
[file]
[file.input]
 path_to_input = ""
  # initial = "green_init.dat.npz"
```

(continues on next page)

```
[file.input.interaction]
  path_to_input = "./"
  Geometry = "geom.dat"
  Transfer = "transfer.dat"
  CoulombInter = "coulombinter.dat"
[file.output]
  path_to_output = "output"
  energy = "energy.dat"
  eigen = "eigen"
  green = "green"
```

The file is written in TOML format, and organized by the following sections.

## [log] section

This section contains settings on log outputs. print\_level sets the verbosity of the standard output, and print\_step sets the interval of the outputs.

## [mode] section

This section contains settings on calculation mode and basic parameters. We choose mode to be either the coordinate space UHF (UHFr) or the wave-number space UHF (UHFk). The calculation parameters are specified in [mode.param] subsection.

#### [file] section

[file.input] subsection contains settings on the directory for the input files by path\_to\_input, and the filename for the initial configuration by initial. If the latter is not specified, a random configuration will be generated.

[file.input.interaction] subsection contains a list of files associated with the geometry information and the interactions distinguished by the keywords.

[file.output] subsection contains filenames for the physical observables such as the energy by energy, for the eigenvalues and eigenvectors of the Hamiltonian by eigen, and for the one-body Green's functions by green. If they are not specified, the corresponding data will not be outputted.

See *Parameter files* section for the details.

### 3.1.2 Create interaction definition files

You need to prepare data files on the geometry information of the lattice, and the coefficients of the interactions required to construct the Hamiltonian. The association between the types of information and the filenames is provided in [file.input.interaction] section.

#### Geometry

The file associated with Geometry provides the geometrical information of the lattice. An example of the file is shown below.

It contains the primitive vectors (lines 1–3), the number of orbitals (line 4), and the Wannier centers of the orbitals (line 5 onwards).

### Transfer, CoulombIntra, CoulombInter, Hund, etc

The file associated with Transfer contains the coefficients of Hamiltonian corresponding to the transfer term of the electron systems. The coefficients of the two-body interaction terms are stored in the files associated with the respective keywords. The defined types include CoulombIntra, CoulombInter, Hund, Ising, Exchange, PairLift, and PairHop, in accordance with the coordinate-space UHF. These files are written in Wannier90(-like) format, as exemplified below.

```
Transfer in wannier90-like format for uhfk
1
1 1 1 1 1 1 1 1 1
  -1
                       1 - 1.000000000000
                                            -0.000000000000
   0
       -1
                  1
                       1 - 1.000000000000
                                           -0.000000000000
       1
                       1 -1.000000000000
                                             0.000000000000
                  1
   1
                       1 - 1.000000000000
                                             0.000000000000
```

It contains a comment (line 1), the number of orbitals (line 2), the number of cells nrpts of the rectangular cuboid that accommodates translation vectors (line 3), the multiplicity factors (nrpts elements, with 15 elements per line), and the elements of the coefficient matrix.

Each element of the matrix consists of translation vector  $r_x, r_y, r_z$ , indices of orbitals  $\alpha, \beta$ , and the real and imaginary part of the coefficient.

## 3.1.3 Run

Once you prepare all the input files, you can perform the calculation by running H-wave with the input parameter file (input.toml in this tutorial) as an argument.

```
$ hwave input.toml
```

The calculation starts with the logs as shown below:

(continues on next page)

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```
2022-12-02 13:48:11,641 INFO qlms: Get Green function information
2022-12-02 13:48:11,667 INFO qlms.uhfk: Show parameters
2022-12-02 13:48:11,668 INFO qlms.uhfk:
                                           Cell Shape
                                                          = (4, 4, 1)
2022-12-02 13:48:11,668 INFO qlms.uhfk:
                                           Sub Shape
                                                          = (2, 2, 1)
2022-12-02 13:48:11,668 INFO qlms.uhfk:
                                           Block
                                                          = (2, 2, 1)
2022-12-02 13:48:11,668 INFO qlms.uhfk:
                                           Block volume
                                                          = 4
2022-12-02 13:48:11,668 INFO qlms.uhfk:
                                           Num orbit
                                                          = 1
2022-12-02 13:48:11,668 INFO qlms.uhfk:
                                           Num orbit eff = 4
2022-12-02 13:48:11,668 INFO qlms.uhfk:
                                                          = 2
                                           nspin
2022-12-02 13:48:11,668 INFO glms.uhfk:
                                           nd
                                                          = 8
2022-12-02 13:48:11,668 INFO qlms.uhfk:
                                                          = 16
                                           Ncond
2022-12-02 13:48:11,669 INFO qlms.uhfk:
                                                          = 0.0
2022-12-02 13:48:11,669 INFO qlms.uhfk:
                                           E_cutoff
                                                          = 100.0
2022-12-02 13:48:11,669 INFO qlms.uhfk:
                                           Mix
                                                          = 0.5
2022-12-02 13:48:11,669 INFO qlms.uhfk:
                                           RndSeed
                                                         = 123456789
2022-12-02 13:48:11,669 INFO qlms.uhfk:
                                           IterationMax = 1000
2022-12-02 13:48:11,669 INFO qlms.uhfk:
                                                          = 1e-08
2022-12-02 13:48:11,669 INFO qlms.uhfk:
                                           strict_hermite = False
2022-12-02 13:48:11,669 INFO qlms.uhfk:
                                           hermite_tol
                                                          = 1e-08
2022-12-02 13:48:11,669 INFO qlms: Start UHF calculation
2022-12-02 13:48:11,670 INFO qlms.uhfk: Start UHFk calculations
2022-12-02 13:48:11,670 INFO qlms.uhfk: step, rest, energy, NCond, Sz
2022-12-02 13:48:11,671 INFO qlms.uhfk: initialize green function with random numbers
2022-12-02 13:48:11,673 INFO qlms.uhfk: 0, 0.015588886, -139.86928, 16, 1.732e-14
2022-12-02 13:48:11,684 INFO qlms.uhfk: 10, 0.00043101981, 91.751578, 16, -1.029e-11
2022-12-02 13:48:11,690 INFO qlms.uhfk: 20, 0.00097917933, 92.129093, 16, -0.0001693
2022-12-02 13:48:11.694 INFO glms.uhfk: 30, 0.0002328601, -0.49699902, 16, -2.492e-09
2022-12-02 13:48:11,697 INFO qlms.uhfk: 40, 8.9087396e-07, -2.2626401, 16, -2.354e-14
2022-12-02 13:48:11,699 INFO qlms.uhfk: UHFk calculation succeeded: rest=9.
\rightarrow 905239155412216e-09, eps=1e-08.
2022-12-02 13:48:11,699 INFO glms: Save calculation results.
2022-12-02 13:48:11,699 INFO qlms.uhfk: save_results: save energy in file output/energy.
2022-12-02 13:48:11,699 INFO qlms.uhfk: save_results: save eigenvalues and eigenvectors.
→in file output/eigen.dat
2022-12-02 13:48:11,699 INFO qlms.uhfk: save_results: save green function to file output/
2022-12-02 13:48:11,700 INFO qlms: All procedures are finished.
Statistics
 function
                                  : total elapsed : average elapsed : ncalls
 hwave.solver.uhfk._init_param :
                                         0.004 msec :
                                                           0.004 msec :
 hwave.solver.uhfk._init_lattice :
                                         0.004 msec :
                                                           0.004 msec :
                                                                             1
 hwave.solver.uhfk._init_orbit
                                         0.001 msec :
                                                           0.001 msec :
 hwave.solver.uhfk._check_interaction :
                                             0.176 msec :
                                                               0.176 msec :
 hwave.solver.uhfk._reshape_geometry :
                                           23.000 msec :
                                                            23.000 msec :
 hwave.solver.uhfk._reshape_interaction :
                                          0.222 msec :
                                                                 0.111 msec :
 hwave.solver.uhfk._init_interaction :
                                           23.313 msec :
                                                             23.313 msec :
 hwave.solver.uhfk._show_param :
                                         2.149 msec :
                                                           2.149 msec :
 hwave.solver.uhfk.__init__
                                        28.129 msec :
                                                          28.129 msec :
 hwave.solver.uhfk._make_ham_trans :
                                          0.501 msec :
                                                            0.501 msec :
                                                                             1
```

(continues on next page)

hwave.solver.uhfkmake_ham_inter	: :	<b>0.414</b> msec :	0.414 msec :	1
hwave.solver.uhfkreshape_green	:	0.202 msec :	0.202 msec :	1
<pre>hwave.solver.uhfkinitial_green</pre>	:	0.494 msec :	0.494 msec :	1
hwave.solver.uhfkmake_ham	:	6.999 msec :	0.143 msec :	49
hwave.solver.uhfkdiag	:	3.533 msec :	0.072 msec :	49
hwave.solver.uhfkgreen	:	8.698 msec :	<b>0.178</b> msec :	49
hwave.solver.uhfkcalc_energy	:	3.960 msec :	0.081 msec :	49
hwave.solver.uhfkcalc_phys	:	3.559 msec :	0.073 msec :	49
hwave.solver.uhfk.solve	:	29.349 msec :	29.349 msec :	1
hwave.solver.uhfkdeflate_green	:	0.035 msec :	0.035 msec :	1
hwave.solver.uhfksave_green	:	0.202 msec :	0.202 msec :	1
hwave.solver.uhfk.save_results	:	0.559 msec :	<b>0.</b> 559 msec :	1

The logs on the input files are shown, followed by the logs on the iterations of the wave-number space UHF calculation. The program will yield, according to the settings in [file.output] section, the output files energy.dat, eigen. npz, and green.npz in output directory.

See Output files of UHFk section for the details of the output files.

# 3.1.4 Calculate density of state (hwave\_dos)

You can calculate the density of states (DOS) using the post-processing tool, hwave\_dos.

hwave\_dos uses the libtetrabz library to integrating over the Brillouin zone. libtetrabz can be installed by pip:

```
$ python3 -m pip install libtetrabz
```

The tool requires the input parameter file used in the calculation. The following example shows how to calculate the DOS using the sample input file:

```
$ hwave_dos input.toml
```

hwave\_dos outputs the DOS file, dos.dat in the directory specified by the file.output.path\_to\_output of the input file. The filename can be changed by --output option:

```
$ hwave_dos input.toml --output dos.dat
```

The DOS is calculated in the energy range specified by --ene-window option. If omitted, the energy range is set to  $[E_{\min} - 0.2, E_{\max} + 0.2]$  where  $E_{\min}$  and  $E_{\max}$  are the minimum and maximum energies obtained by hwave. The number of the energy points for the DOS calculation is specified by --ene-num option (default is 101):

```
$ hwave_dos input.toml --ene-window -10.0 5.0 --ene-num 201
```

The --plot option plots the DOS. matplotlib is required:

```
$ hwave_dos input.toml --plot dos.png
```

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# 3.2 File specifications

## 3.2.1 Parameter files

The parameter file specifies calculation conditions and parameters for H-wave in TOML format. It is composed of the following three sections.

- 1. mode section for specifying calculation conditions,
- 2. log section for setting standard outputs,
- 3. file section for setting file paths: It contains input and output subsections.

An example of the file is shown below:

```
[log]
 print_level = 1
 print_step = 10
[mode]
 mode = "UHFk"
[mode.param]
 \# 2Sz = 0
 Ncond = 16
 IterationMax = 1000
 EPS = 8
 Mix = 0.5
 RndSeed = 123456789
 \# ene_cutoff = 1.0e+2
 T = 0.0
 CellShape = [4, 4, 1]
 SubShape = [ 2, 2, 1 ]
[file]
[file.input]
 path_to_input = ""
 # initial = "green_init.dat.npz"
[file.input.interaction]
 path_to_input = "./"
 Geometry = "geom.dat"
 Transfer = "transfer.dat"
 CoulombInter = "coulombinter.dat"
[file.output]
 path_to_output = "output"
 energy = "energy.dat"
 eigen = "eigen"
 green = "green"
```

### File format

TOML format

#### **Parameters**

#### mode section

• mode

Type: String

**Description:** This parameter specifies the calculation mode. Set to "UHFk" for calculations of the wave-number space UHF.

• flag\_fock

**Type:** Boolean (Default value is true)

**Description:** If true, include the Fock term in the Hamiltonian, otherwise, exclude it (Hartree approximation).

#### mode.param section

mode.param section contains the parameters for the calculation.

CellShape

Type: Integer array

**Description:** This parameter specifies the shape of the lattice Lx, Ly, Lz.

SubShape

**Type:** Integer array (default value is [ Lx, Ly, Lz ])

**Description:** This parameter specifies the shape of the sublattice Bx, By, Bz.

• T

**Type:** Float (default value is 0)

**Description:** This parameter specifies the temperature. It must be greater than or equal to zero.

Ncond

Type: Integer

**Description:** This parameter specifies the number of conduction electrons. It must be greater than or equal to one.

• filling

Type: Float

**Description:** This parameter specifies the filling ratio of electrons with respect to the number of states. It must be between 0 and 1. Both Ncond and filling are specified, the program will be terminated with error.

• Ncond\_round\_mode

Type: String (default value is "strict")

**Description :** This parameter specifies how the number of electrons calculated from the filling parameter is rounded to an integer value. The parameter must take one of the following values.

- as-is: the value is not rounded to an integer. (returns a floating-point number)
- round-up: the value is rounded up.
- round-down: the value is rounded down.
- round-off: the value is rounded to the closest integer. (0.5 is rounded up.)
- round: the value is rounded by round function. (0.5 is rounded down.)
- strict: if the value is not an integer value, the program terminates with error.
- exact: if the value is not an integer value, a warning message will be shown and the value is rounded to an integer as round.
- IterationMax

**Type:** Integer (default value is 20000)

**Description:** This parameter specifies the maximum number of iterations. It must be greater than or equal to zero.

EPS

**Type:** Integer (default value is 6)

**Description:** This parameter specifies the convergence criterion. It is considered convergent when the norm of the difference between the previous and the new Green's function falls below  $10^{-\mathrm{EPS}}$ . The residue is defined by  $R = \sum_{i,j}^{N} \sqrt{\left|G_{ij}^{\mathrm{new}} - G_{ij}^{\mathrm{old}}\right|^2}/2N^2$ . It must be greater than or equal to zero.

• Mix

**Type:** Float (default value is 0.5)

**Description :** This parameter specifies the ratio  $\alpha$  of simple-mixing when the Green's function is updated by the previous and the new one. It must be between 0 and 1. If it is set to 1, the previous value will not be mixed.

See *Algorithms* section for the simple-mixing algorithm.

RndSeed

**Type:** Integer (default value is 1234)

 $\boldsymbol{Description}$  : This parameter specifies the seed of the random number generator.

• ene\_cutoff

**Type:** Float (default value is 100.0)

**Description :** This parameter specifies the cutoff to avoid overflow in the calculations of the Fermi distribution function.

• strict\_hermite

**Type:** Boolean (default value is false)

**Description:** This parameter specifies the strictness of checking Hermiticity of the interaction definitions when they are read from files. If it is true, the program will be terminated with error when there are deviations greater than hermite\_tolerance. If it is false, only the warning messages will be shown, and the calculation continues.

• hermite\_tolerance

**Type:** Float (default value is  $10^{-8}$ )

**Description:** This parameter specifies the tolerance for the Hermiticity condition  $|t_{ij} - t_{ii}^*| < \varepsilon$ .

• trustme\_interaction\_range

**Type:** Boolean (default value is false)

## **Description:**

Relax the checks that the distances of hopping and interaction are within the halves of CellShape; if true, warn and continue execution, otherwise stop.

## log section

• print\_level

**Type:** Integer (default value is 1)

**Description:** This parameter specifies the verbosity of the standard output. If it is set to 1, a detailed information will be shown.

• print\_step

**Type:** Integer (default value is 1)

**Description :** This parameter specifies the interval to write calculation logs to the standard output during the iteration. It must be greater than or equal to one.

• print\_check

**Type:** String (default value is None)

**Description:** This parameter specifies the filename to which the calculation logs are written during the iteration besides the standard output. If it is not set, no output file will be generated.

#### file section

This section consists of input and output subsections. The former specifies the settings on the input files (such as locations and name of the files), and the latter on the output files (such as locations to store).

## file.input section

• path\_to\_input

**Type:** String (default value is "")

**Description:** This parameter specifies the directory in which the input files are located.

• initial

**Type:** String

**Description:** This parameter specifies the filename of the initial configuration of the one-body Green's function. The input file is in NumPy binary format that corresponds to the output format of green in file.output section.

## file.input.interaction section

This section describes the relation of the interaction types and geometry information to the definition files.

• path\_to\_input

Type: String

**Description:** This parameter specifies the directory in which the input files are located. It is independent from path\_to\_input in file.input section.

Geometry

Type: String

**Description :** This parameter specifies the filename for the geometry information.

Transfer, CoulombIntra, CoulombInter, Hund, Ising, Exchange, PairLift, PairHop

Type: String

**Description:** These parameters specify the filenames for the definitions of the corresponding interaction terms.

#### file.output section

• path\_to\_output

**Type:** String (default value is "output")

**Description:** This parameter specifies the directory in which the output files are stored.

• energy

Type: String

## **Description:**

This parameter specifies the name of the file to store the energy values. If it is not set, no output will be generated.

• eigen

Type: String

**Description:** This parameter specifies the name of the file to store the eigenvalues and eigenvectors of the Hamiltonian. If it is not set, no output will be generated.

• green

**Type:** String (default value is "green")

**Description:** This parameter specifies the name of the file to store the one-body Green's functions. If it is set to an empty string, no output will be generated.

• rpa

Type: String

**Description:** This parameter specifies the name of the file to store the one-body term involving the approximated two-body interaction term by UHF method. The output may be used as an initial configuration of the RPA calculation by specifying it in the parameter file.input.trans\_mod. If it is not set, no output will be generated.

# 3.2.2 Input files for UHFk

In this section, the input files for the wave-number space UHF are described. They are classified into two categories, and written in Wannier90 format.

(1) Geometry information

#### Geometry

defines the geometrical information of the lattice.

(2) Interaction definitions

These files defines the Hamiltonian for UHF in the form of electron systems. They provide the coefficients of the interaction terms associated with the specified keywords.

The following keywords adopted in  $\mathcal{H}\Phi$  and mVMC in the Expert Mode are accepted.

#### Transfer

corresponds to one-body term denoted by  $c_{i\sigma_1}^{\dagger}c_{j\sigma_2}$ .

### CoulombIntra

corresponds to the interaction denoted by  $n_{i\uparrow}n_{i\downarrow}$ , where  $n_{i\sigma}=c_{i\sigma}^{\dagger}c_{i\sigma}$ .

#### CoulombInter

corresponds to the interaction denoted by  $n_i n_j$ , where  $n_i = n_{i\uparrow} + n_{i\downarrow}$ .

#### Hund

corresponds to the interaction denoted by  $n_{i\uparrow}n_{j\uparrow} + n_{i\downarrow}n_{j\downarrow}$ .

#### Ising

corresponds to the interaction denoted by  $S_i^z S_i^z$ .

## **Exchange**

corresponds to the interaction denoted by  $S_i^+ S_i^-$ .

#### **PairLift**

corresponds to the interaction denoted by  $c^\dagger_{i\uparrow}c_{i\downarrow}c^\dagger_{j\uparrow}c_{j\downarrow}$ .

#### **PairHop**

corresponds to the interaction denoted by  $c^\dagger_{i\uparrow}c_{j\uparrow}c^\dagger_{i\downarrow}c_{j\downarrow}.$ 

The data formats are described in the following sectoins.

# Geometry input file

The Geometry input file describes the geometry information of the lattice. An example of the file is shown as follows.

```
3.7599302871
                                                                    0.0000000000
                                                                                                                                         0.0000000000
0.0000000000
                                                                    3.7599302871
                                                                                                                                         0.0000000000
0.0000000000
                                                                    0.0000000000
                                                                                                                                         5.4822004186
                      10
-7.179835091886330E - 003 \\ -3.812050198019962E - 002 \\ 1.639284152926924E - 003 \\ -3.812050198019962E - 002 \\ -3.8120501998019962E - 002 \\ -3.81205019962E - 002 \\ -3.812050019962E - 002 \\ -3.81205019962E - 002 \\ -3.81205019962E - 002 \\
   1.346463812592166E-002 6.709778405878775E-003 -6.812442303544219E-003
   0.495705070884200
                                                                                                            -0.457955704941170
                                                                                                                                                                                                                           -4.077818544354700E-003
 -1.577970702078565E-004 -2.999005205319096E-004 -1.190284144276225E-004
 -1.302397074478660E-003 -5.021621895411691E-003 -3.514564279609852E-004
   0.504124376959700
                                                                                                                 0.457760356450585
                                                                                                                                                                                                                           -2.634809811615298E-003
                                                                                                                                                                                                                               6.927730957590197E-003
   0.499384075989520
                                                                                                            -0.494227365093439
```

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 -5.164444920392309E-003
 3.667887236852975E-002
 4.972296517752579E-003

 0.500170586121734
 0.499747448247510
 2.760670734661295E-003

 0.500734036298328
 0.494793997305026
 -2.212377045150314E-003

### File format

• Lines 1-3: [ax\_i] [ay\_i] [az\_i]

• Line 4: [Norbit]

• Lines 5-: [vx\_i] [vy\_i] [vz\_i]

## **Parameters**

• [ax\_i], [ay\_i], [az\_i]

Type: Float

**Description :** These parameters for i from 1 to 3 specify the primitive vectors  $\vec{a}_1, \vec{a}_2, \vec{a}_3$ .

• [Norbit]

Type: Integer

**Description :** This parameter specifies the number of orbitals  $N_{\mathrm{orbit}}$  in a unitcell.

• [vx\_i], [vy\_i], [vz\_i]

Type: Float

**Description :** These parameters specify the Wannier center  $\vec{v}_i$  of each orbital in the fractional coordinates.

## **Usage rules**

• The indices of the orbitals are implicitly assigned from 1 to  $N_{\text{orbit}}$  in the order of the Wannier centers.

## Interaction definition files

The interaction definition files describe the coefficients  $T_{\alpha\beta}(r_{ij})$ ,  $J_{\alpha\beta}(r_{ij})$ ,  $V_{\alpha\beta}(r_{ij})$ , or  $U_{\alpha}$  of the one-body and two-body Hamiltonian denoted by the following expressions. They are given in Wannier90(-like) format. It is noted that the generalized two-body interaction term (InterAll) is not supported in the wave-number space UHF.

# Transfer:

$$\sum_{ij\alpha\beta\sigma} T_{\alpha\beta}(r_{ij}) c_{i\alpha\sigma}^{\dagger} c_{j\beta\sigma}$$

# CoulombIntra:

$$\sum_{i\alpha} U_{\alpha} \, n_{i\alpha\uparrow} n_{i\alpha\downarrow}, \quad (n_{i\alpha\sigma} = c_{i\alpha\sigma}^{\dagger} c_{i\alpha\sigma})$$

#### **CoulombInter:**

$$\sum_{ij\alpha\beta} V_{\alpha\beta}(r_{ij}) n_{i\alpha} n_{j\beta}, \quad (n_{i\alpha} = n_{i\alpha\uparrow} + n_{i\alpha\downarrow})$$

## **Hund:**

$$\sum_{ij\alpha\beta} J_{\alpha\beta}^{\text{Hund}}(r_{ij}) \left( n_{i\alpha\uparrow} n_{j\beta\uparrow} + n_{i\alpha\downarrow} n_{j\beta\downarrow} \right)$$

$$\sum_{ij\alpha\beta} J_{\alpha\beta}^{\text{Ising}}(r_{ij}) S_{i\alpha}^z S_{j\beta}^z, \quad (S_{i\alpha}^z = \frac{1}{2} (n_{i\alpha\uparrow} - n_{i\alpha\downarrow}))$$

# PairHop:

$$\sum_{ij\alpha\beta} J_{\alpha\beta}^{\text{PH}}(r_{ij}) c_{i\alpha\uparrow}^{\dagger} c_{j\beta\uparrow} c_{i\alpha\downarrow}^{\dagger} c_{i\beta\downarrow} + h.c.$$

## **Exchange:**

$$\sum_{ij\alpha\beta} J_{\alpha\beta}^{\rm Ex}(r_{ij}) c_{i\alpha\uparrow}^{\dagger} c_{j\beta\uparrow} c_{j\beta\downarrow}^{\dagger} c_{i\alpha\downarrow}$$

## PairLift:

$$\sum_{ij\alpha\beta} J_{\alpha\beta}^{\text{PairLift}}(r_{ij}) c_{i\alpha\uparrow}^{\dagger} c_{i\alpha\downarrow} c_{j\beta\uparrow}^{\dagger} c_{j\beta\downarrow}$$

An example of the file is shown below.

```
wannier90 format for vmcdry.out or HPhi -sdry
   10
   245
1
      1
           1
                                    1
                                         1
                                              1
                                                                       1
1
                          1
                               1
                                    1
                                         1
                                              1
                                                   1
1
     1
          1
               1
                     1
-3
     -3
         -2
                     1
                       -0.0000269645
                                       -0.0000000000
               1
-3
     -3
         -2
                     2 -0.0000071722
                                       -0.0000018600
-3
     -3
         -2
                     3 -0.0000083990
                                       0.0000010972
-3
     -3
         -2
                     4 -0.0000000990
                                        0.0000000427
-3
    -3
         -2
                     5
               1
                       -0.0000018628
                                       -0.0000003609
-3
    -3
         -2
                       -0.0000129504
                                       -0.0000014047
-3
    -3
         -2
                    7 -0.0000189169
                                        0.0000024697
-3
    -3
         -2
                     8
                        0.0000238115
                                        0.0000014316
-3
    -3
         -2
                    9 0.0000036708
                                       -0.0000003266
              1
-3
    -3
         -2
                   10
                        0.0000361752
                                        0.0000003247
-3
    -3
         -2
                    1 -0.0000071722
                                        0.0000018600
-3
     -3
                         0.0000105028
                                       -0.0000000000
```

#### File format

```
• Line 1: Header
```

• Lines 4 - 
$$\lceil N_{\text{pts}}/15 \rceil + 3$$
:

• Line  $\lceil N_{\rm pts}/15 \rceil + 4$  onwards:

### **Parameters**

• [Norbit]

Type: Integer

**Description :** This parameter specifies the number of orbitals  $N_{\text{orbit}}$  in a unit cell.

• [Npts]

Type: Integer

**Description:** This parameter specifies the number of cells in a rectangular cuboid that accommodates entire translation vectors.

• [n1], [n2], ...

Type: Integer

**Description:** These parameters specify the multiplicity of cells (ordinary 1), with 15 points in a line.

• [rx], [ry], [rz]

Type: Integer

**Description:** These parameters specify the translation vector.

• [alpha], [beta]

Type: Integer

**Description :** These parameters specify the indices of the orbitals. [alpha] corresponds to the orbital  $\alpha$  in the original cell, and [beta] corresponds to the orbital  $\beta$  in the cell displaced by  $\vec{r}$ .

• [J.real], [J.imag]

Type: Float

**Description:** These parameters specify the real and imaginary parts of the coefficient  $J_{\alpha\beta}(\vec{r})$ .

## **Usage rules**

- Header cannot be omitted.
- The unspecified elements of the coefficient matrix are assumed to be zero.

# 3.2.3 Output files of UHFk

This section describes the file formats of the outputs of UHFk.

#### energy

The values of the energy, the number of electrons, and the spin obtained by the wave-number space UHF method are outputted. The filename is specified by the keyword energy in file.output section in the parameter file.

An example of the file is shown as follows.

```
Energy_Total = -5.88984624257707

Energy_Band = -0.9265413257740396

Energy_Coulomb = -4.963304916803031

NCond = 8.000000000000007

Sz = 3.2822430107160017e-07
```

### File format

- Energy\_Total = [energy\_total]
- Energy\_Band = [energy\_band]
- Energy\_{type} = [energy\_type]
- NCond = [ncond]
- Sz = [sz]

### **Parameters**

• [energy\_total]

Type: Float

**Description:** The value of the energy calculated from the eigenvectors obtained by the UHF mothod.

• [energy\_band]

Type: Float

**Description :** The value of the energy derived only from the eigenvalues of the Hamiltonian obtained by the UHF method.

• [energy\_type]

Type: Float

**Description:** The value of the energy calculated separately for each interaction type.

• [ncond]

Type: Float

**Description :** The expectation value of the total number of electrons denoted by  $\sum_i \langle n_i \rangle$ .

• [sz]

Type: Float

**Description:** The expectation value of the z component of total spin  $S_z$  denoted by  $\sum_i \langle (n_{i\uparrow} - n_{i\downarrow}) \rangle / 2$ .

#### eigen

The eigenvalues and eigenvectors of the Hamiltonian at the convergence are exported in NumPy zip (npz) format. Using the string (referred to as *eigen\_str*) specified by the keyword eigen in file.output section in the parameter file, the filename is chosen as *eigen\_str*.npz.

The following code is an example for reading the data from the output file.

```
import numpy as np
data = np.load("eigen_str.npz")
eigenvalue = data["eigenvalue"]
eigenvector = data["eigenvector"]

wavevector_unit = data["wavevector_unit"]
wavevector_index = data["wavevector_index"]
```

eigenvalue contains the eigenvalues  $\lambda_l(\vec{k})$  for each wave number. The wave number is taken in unit of sublattice when the sublattice is considered. The data format is a numpy ndarray with the layout as eigenvalue[k][1], where k refers to the linearlized index of the wave number vector  $\vec{k}$  (see below), and 1 refers to the index of eigenvalue. When Sz is fixed, 1 is given by 1 = 1' + Norb \* s where 1' is the index of the eigenvalue in a cell, and s refers to the spin index (0 for up-spin, and 1 for down-spin).

eigenvector contains the corresponding eigenvectors. The data format is a numpy ndarray with the layout as eigenvector[k][j][l], where k and l refer to the indices of the corresponding wave number and eigenvalue, and j refers to the index of the orbital and spin in a cell.

wavevector\_unit and wavevector\_index refer to the information of the wave number vectors. wavevector\_unit contains the unit wave number vectors given by  $2\pi \vec{b}_i/N_i$  with  $\vec{b}_i$  being reciprocal lattice vectors. wavevector\_index contains the map from the index k to the indices of the wave number vector (kx, ky, kz). The wave number vector that corresponds to the index k is obtained by

```
k_vec = np.dot(wavevector_index[k], wavevector_unit)
```

### green

The one-body Green's function  $\langle c^{\dagger}_{i\sigma_1}c_{j\sigma_2}\rangle$  calculated by the wave-number space UHF method is exported in NumPy zip (npz) format. Using the string (referred to as  $green\_str$ ) specified by the keyword green in file.output section in the parameter file, the filename is chosen as  $green\_str$ .npz.

The data is bound to the key green. The data format is a numpy ndarray with the layout ndarray(r, s, a, t, b), where

- r denotes a linearlinzed index of translation vector  $[r_x \ r_y \ r_z]$ , where the indices are packed into r by  $\mathbf{r} = r_z + N_z \cdot (r_y + N_y r_x)$ .
- a, b denote the indices of the orbitals  $\alpha$ ,  $\beta$ ,
- s, t denote the indices of the spins  $\sigma_1, \sigma_2$ .

The output can be used as an initial configuration of the Green's function specified by the keyword initial in file. input section.

When the sublattice is considerd, the Green's function in unit of the sublattice is also stored with the key green\_sublattice. The indices of the data are regarded as those of the sublattice.

The following code is an example for reading the data from the output file.

```
import numpy as np
data = np.load("green.dat.npz")
green = data["green"]
```

**CHAPTER** 

**FOUR** 

# **RANDOM PHASE APPROXIMATION (RPA)**

# 4.1 Tutorial

To use H-wave for the calculation of Random Phase Approximation (RPA), you need to prepare input files:

- 1. an input parameter file,
- 2. interaction definition files,

before running the program.

In the following, we provide a tutorial based on a sample in docs/tutorial/Hubbard/RPA directory. The interaction definition files can be generated using StdFace library. See *Generation of interaction files using StdFace library* section for the details.

# 4.1.1 Create a parameter file

We prepare an input parameter file that contains basic parameters as well as settings on inputs and outputs. A sample file can be found in docs/tutorial/Hubbard/RPA directory by a filename input.toml. The content of the file is as follows:

```
[log]
 print_level = 1
[mode]
 mode = "RPA"
[mode.param]
 T = 0.5
 \# mu = 0.0
 CellShape = [32, 32, 1]
 SubShape = [1,1,1]
 nmat = 1024
 \# Ncond = 1024
 filling = 0.5
 matsubara_frequency = "all"
[file]
[file.input]
 path_to_input = "input"
 # initial = "initial.dat"
 # chi0q_init = "chi0q.npz"
```

(continues on next page)

```
[file.input.interaction]
  path_to_input = "input"
  Geometry = "geom.dat"
  Transfer = "transfer.dat"
  CoulombIntra = "coulombintra.dat"
  CoulombInter = "coulombinter.dat"

[file.output]
  path_to_output = "output"
  chiq = "chiq"
  chi0q = "chi0q"
```

The file is written in TOML format, and organized by the following sections.

## [log] section

This section contains settings on log outputs. print\_level sets the verbosity of the standard output, and print\_step sets the interval of the outputs.

#### [mode] section

This section contains settings on calculation mode and basic parameters. We choose mode to be "RPA". The calculation parameters are specified in [mode.param] subsection.

## [file] section

[file.input] subsection contains settings on the directory for the input files by path\_to\_input. It is possible to read the pre-calculated irreducible susceptibility  $\chi_0(\vec{q})$  from a file specified by chi0q\_init and calculate the susceptibility.

[file.input.interaction] subsection contains a list of files associated with the geometry information and the interactions distinguished by the keywords.

[file.output] subsection contains filenames for the physical observables such as the energy by energy, for the eigenvalues and eigenvectors of the Hamiltonian by eigen, and for the one-body Green's functions by green. If they are not specified, the corresponding data will not be outputted.

See Parameter files section for the details.

## 4.1.2 Create interaction definition files

You need to prepare data files on the geometry information of the lattice, and the coefficients of the interactions required to construct the Hamiltonian. The association between the types of information and the filenames is provided in [file.input.interaction] section.

#### Geometry

The file associated with Geometry provides the geometrical information of the lattice. An example of the file is shown below.

It contains the primitive vectors (lines 1–3), the number of orbitals (line 4), and the Wannier centers of the orbitals (line 5 onwards).

#### Transfer, CoulombIntra, CoulombInter, Hund, etc

The file associated with Transfer contains the coefficients of Hamiltonian corresponding to the transfer term of the electron systems. The coefficients of the two-body interaction terms are stored in the files associated with the respective keywords. The defined types include CoulombIntra, CoulombInter, Hund, Ising, Exchange, PairLift, and PairHop, in accordance with the UHF calculation. These files are written in Wannier90(-like) format, as exemplified below.

```
Transfer in wannier90-like format for uhfk
1
9
1 1 1 1 1 1 1 1 1
  -1
       -1
                       1 0.500000000000
                                           -0.000000000000
  -1
                  1
                       1 1.0000000000000
                                           -0.000000000000
   0
       -1
                  1
                       1 1.0000000000000
                                           -0.00000000000
                       1 1.0000000000000
       1
                  1
                                            0.000000000000
   1
        0
                  1
                       1 1.000000000000
                                            0.000000000000
                       1
                          0.5000000000000
                                            0.000000000000
```

It contains a comment (line 1), the number of orbitals (line 2), nrpts (line 3), the multiplicity factors (nrpts elements, with 15 elements per line), and the elements of the coefficient matrix. nrpts denotes the number of cells of the rectangular cuboid that is spanned by the lower and upper ends of the translation vectors along x, y, and z axes.

Each element of the matrix consists of translation vector  $r_x$ ,  $r_y$ ,  $r_z$ , indices of orbitals  $\alpha$ ,  $\beta$ , and the real and imaginary part of the coefficient.

## 4.1.3 Run

Once you prepare all the input files, you can perform the calculation by running H-wave with the input parameter file (input.toml in this tutorial) as an argument.

```
$ hwave input.toml
```

The calculation starts with the logs as shown below:

```
2023-03-07 18:55:44,682 INFO qlms: RPA mode
2023-03-07 18:55:44,682 INFO qlms: Read interaction definitions from files
2023-03-07 18:55:44,682 INFO qlms.read_input: QLMSkInput: read Gemoetry from input/geom.

dat
2023-03-07 18:55:44,682 INFO qlms.read_input: QLMSkInput: read interaction Transfer from.
input/transfer.dat

(continues on next page)
```

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```
2023-03-07 18:55:44,682 INFO qlms.read_input: QLMSkInput: read interaction CoulombIntra_
→from input/coulombintra.dat
2023-03-07 18:55:44,682 INFO qlms.read_input: QLMSkInput: read interaction CoulombInter_
→from input/coulombinter.dat
2023-03-07 18:55:44,682 INFO hwave.solver.rpa: Lattice parameters:
2023-03-07 18:55:44,682 INFO hwave.solver.rpa:
                                                      CellShape
                                                                       = (32, 32, 1)
2023-03-07 18:55:44,682 INFO hwave.solver.rpa:
                                                      cell volume
                                                                      = 1024
2023-03-07 18:55:44,682 INFO hwave.solver.rpa:
                                                      cell dimension = 3
2023-03-07 18:55:44,682 INFO hwave.solver.rpa:
                                                     SubShape
                                                                 = (1, 1, 1)
2023-03-07 18:55:44,682 INFO hwave.solver.rpa:
                                                      subshape volume = 1
2023-03-07 18:55:44,682 INFO hwave.solver.rpa:
                                                      Shape = (32, 32, 1)
2023-03-07 18:55:44,682 INFO hwave.solver.rpa:
                                                      shape volume = 1024
2023-03-07 18:55:44,682 INFO hwave.solver.rpa:
                                                     has_sublattice = False
2023-03-07 18:55:44,683 INFO hwave.solver.rpa: RPA parameters:
2023-03-07 18:55:44,683 INFO hwave.solver.rpa:
                                                     norbit
                                                                      = 1
2023-03-07 18:55:44,683 INFO hwave.solver.rpa:
                                                                    = 2
                                                     nspin
2023-03-07 18:55:44,683 INFO hwave.solver.rpa:
                                                     nd
                                                                      = 2
2023-03-07 18:55:44,683 INFO hwave.solver.rpa:
                                                     Nmat
                                                                      = 1024
2023-03-07 18:55:44,683 INFO hwave.solver.rpa:
                                                     mu
                                                                      = 0.0
2023-03-07 18:55:44,683 INFO hwave.solver.rpa:
                                                     T
                                                                      = 0.5
                                                     E_cutoff
2023-03-07 18:55:44,683 INFO hwave.solver.rpa:
                                                                      = 1.0000000e+02
2023-03-07 18:55:44,683 INFO qlms: Start UHF calculation
2023-03-07 18:55:44,683 INFO hwave.solver.rpa: Start RPA calculations
2023-03-07 18:55:47,726 INFO hwave.solver.rpa: End RPA calculations
2023-03-07 18:55:47,726 INFO qlms: Save calculation results.
2023-03-07 18:55:47,726 INFO hwave.solver.rpa: Save RPA results
2023-03-07 18:55:47,925 INFO hwave.solver.rpa: save_results: save chiq in file output/
2023-03-07 18:55:48,294 INFO hwave.solver.rpa: save_results: save chi0q in file output/
2023-03-07 18:55:48,294 INFO qlms: All procedures are finished.
Statistics
  function
                                    : total elapsed : average elapsed : ncalls
 hwave.solver.rpa.__init__ : 1.037 msec : hwave.solver.rpa.read_init : 0.001 msec : hwave.solver.rpa._calc_epsilon_k : 22.587 msec :
                                                              1.037 msec :
                                                              0.001 msec :
                                                            22.587 msec :
  hwave.solver.rpa._calc_green : 130.035 msec :
                                                           130.035 msec :
 hwave.solver.rpa._calc_chi0q : 1886.201 msec : 1886.201 msec : hwave.solver.rpa._solve_rpa : 1003.617 msec : 1003.617 msec : hwave.solver.rpa.solve : 3042.926 msec : 3042.926 msec :
  hwave.solver.rpa.save_results : 567.897 msec : 567.897 msec :
```

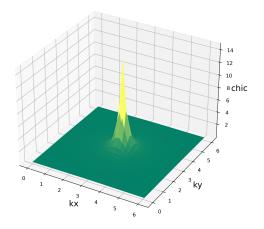
The logs on the input files are shown, followed by the logs on the process of RPA calculations. The program will yield, according to the settings in [file.output] section, the output files chi0q.npz and chiq.npz in output directory.

See *Output files of RPA* section for the details of the output files.

A tool is prepared in sample/RPA/view.py for visualizing the calculation results as a post-process. Let us copy the script file to the current directory, and run the script as follows:

## \$ python3 view.py

The script reads output/chiq.npz and output/chiq.npz, and writes the values of the charge susceptibility  $\chi_c(\vec{q})$  and the spin susceptibility  $\chi_s(\vec{q})$  at Matsubara frequency  $\mathrm{i}\omega_m=0$  for each  $\vec{q}$  to the standard output. It also produces the figures of these quantities in PNG format shown as below:



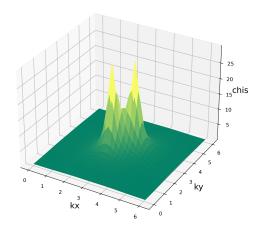


Fig. 4.1:  $\chi_c(\vec{q})$ 

Fig. 4.2:  $\chi_s(\vec{q})$ 

# 4.2 File specifications

## 4.2.1 Parameter files

The parameter file specifies calculation conditions and parameters for H-wave in TOML format. It is composed of the following three sections.

- 1. mode section for specifying calculation conditions,
- 2. log section for setting standard outputs,
- 3. file section for setting file paths: It contains input and output subsections.

An example of the file is shown below:

```
[log]
  print_level = 1

[mode]
  mode = "RPA"

[mode.param]
  T = 0.5
  # mu = 0.0
  CellShape = [32,32,1]
  SubShape = [1,1,1]
```

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```
nmat = 1024
 # Ncond = 1024
 filling = 0.5
 matsubara_frequency = "all"
[file]
[file.input]
 path_to_input = "input"
 # initial = "initial.dat"
 # chi0q_init = "chi0q.npz"
[file.input.interaction]
 path_to_input = "input"
 Geometry = "geom.dat"
 Transfer = "transfer.dat"
 CoulombIntra = "coulombintra.dat"
 CoulombInter = "coulombinter.dat"
[file.output]
 path_to_output = "output"
 chiq = "chiq"
 chi0q = "chi0q"
```

#### File format

TOML format

## **Parameters**

## mode section

• mode

Type: String

**Description :** This parameter specifies the calculation mode. Set to "RPA" for calculations of the Random Phase Approximation.

• enable\_spin\_orbital (default value is false)

Type: Boolean

**Description :** This parameter specifies whether to allow spin-orbital interaction. If it is set to true, the orbital indices in Transfer term are interpreted in the way that they include the orbital index  $\alpha$  and the spin index s by  $\alpha + N_{\text{orb}} \cdot s$ .

• calc\_scheme (default value is "auto")

Type: String

**Description :** This parameter specifies how the spin and orbitals are treated in the calculation. The parameter takes one of the following options.

- general: Generalized orbitals combining spins and orbitals are considered. The susceptibility matrix takes the most general form, with the size of  $N_{\text{orb}}^4 N_{\text{spin}}^4 N_k N_{\omega}$ .
- reduced: Generalized orbitals combining spins and orbitals are considered. The components of the susceptibility matrix with  $\alpha=\alpha'$  and  $\beta=\beta'$  are considered. The size of the matrix turns to  $N_{\rm orb}^2N_{\rm spin}^2N_kN_\omega$ . For the two-body interaction terms, only CoulombIntra, CoulombInter, Ising and Hund are allowed.
- squashed: Spins and orbitals are separately treated, and for the orbitals  $\alpha=\alpha'$  and  $\beta=\beta'$  are considered. The size of the susceptilibity matrix becomes  $N_{\rm orb}^2 N_{\rm spin}^4 N_k N_\omega$ . See *Algorithms* for details.
- auto: scheme is automatically chosen according to the specifications of interaction terms. This option is not available when only chi0q is to be calculated.

## mode.param section

mode.param section contains the parameters for the calculation.

• CellShape

Type: Integer array

**Description :** This parameter specifies the shape of the lattice Lx, Ly, Lz.

SubShape

**Type:** Integer array (default value is [ Lx, Ly, Lz ])

**Description :** This parameter specifies the shape of the sublattice Bx, By, Bz.

• T

**Type:** Float (default value is 0)

**Description:** This parameter specifies the temperature. It must be greater than or equal to zero.

• mu

**Type:** Float or None (default value is None)

**Description :** This parameter specifies the chemical potential  $\mu$ . If it is not specified, the value of  $\mu$  will be calculated so that the expectation value of the number of electrons equals to Ncond. If both mu and Ncond or filling are specified, the program terminates with error.

• Ncond

Type: Integer

**Description :** This parameter specifies the number of conduction electrons. It must be greater than or equal to one.

filling

Type: Float

**Description:** This parameter specifies the filling ratio of electrons with respect to the number of states. Both Ncond and filling are specified, the program will be terminated with error.

• Ncond\_round\_mode

**Type:** String (default value is "strict")

**Description :** This parameter specifies how the number of electrons calculated from the filling parameter is rounded to an integer value when the temperature is zero. The parameter must take one of the following values.

- as-is: the value is not rounded to an integer. (returns a floating-point number)

- round-up: the value is rounded up.
- round-down: the value is rounded down.
- round-off: the value is rounded to the closest integer. (0.5 is rounded up.)
- round: the value is rounded by round function. (0.5 is rounded down.)
- strict: if the value is not an integer value, the program terminates with error.
- exact: if the value is not an integer value, a warning message will be shown and the value is rounded to an integer as round.

#### • Nmat

**Type:** Integer (default value is 1024)

**Description :** This parameter specifies the cut-off of Matsubara frequency. It must be an even number greater than zero. Matsubara frequency is defined as follows:

- Boson: 
$$\omega_n = \frac{2\pi(n-\mathrm{Nmat}/2)}{\beta}$$

– Fermion: 
$$\omega_n = \frac{\pi(2n+1-{\tt Nmat})}{\beta}$$

with the indices n between 0 and Nmat-1.

• coeff\_tail

**Type:** Float (default value is 0.0)

**Description :** This parameter specifies the magnitude of the correction when correcting the tails of the Fourier transformation. After Fourier transforming the diagonalized one-body Green function to the imaginary time representation by subtracting coeff\_tail/ $(i\omega_n)$ , the term  $-\beta/2 \cdot \text{coeff_tail}$  is added to the one-body Green function.

• matsubara\_frequency

**Type:** Integer, List of Integers, or String (default value is "all")

**Description :** This parameter specifies the indices of Matsubara frequency for which the susceptibility matrix  $\chi(\vec{q})$  is calculated. The value must be one of the following:

- an integer value: a single index value.
- [ min, max (, step) ]: every step index from min to max. If step is omitted, it is assumed to be 1.
- all: all indices
- center: corresponds to Nmat/2.
- none: nothing will be calculated.

When the susceptibility matrix  $\chi(\vec{q})$  or the irreducible susceptibility matrix  $\chi_0(\vec{q})$  are stored to files, the values at the specified frequency are exported.

• coeff\_extern

**Type:** Float (default value is 0.0)

**Description :** This parameter specifies the coefficient h of the external field given by the form  $\pm hH_{\alpha\beta}(r_{ij})$ . The definition of the matrix  $H_{\alpha\beta}(r_{ij})$  will be provided by an input file. The sign + and - correspond to spin up and down, respectively.

• RndSeed

**Type:** Integer (default value is 1234)

**Description:** This parameter specifies the seed of the random number generator.

• ene\_cutoff

**Type:** Float (default value is 100.0)

**Description:** This parameter specifies the upper cutoff of the exponent in the Fermi distribution function to avoid overflow during the calculation.

## log section

• print\_level

**Type:** Integer (default value is 1)

**Description:** This parameter specifies the verbosity of the standard output. If it is set to 1, a detailed information will be shown.

#### file section

This section consists of input and output subsections. They specify the settings of the input and output files, respectively, on the types of files, the directories to be located or stored, and the names of the files.

#### file.input section

• path\_to\_input

Type: String (default value is "" (blank string))

**Description :** This parameter specifies the directory in which the input files are located.

• chi0q\_init

Type: String

**Description :** This parameter specifies the filename of the pre-calculated irreducible susceptibility  $\chi_0(\vec{q})$  to be used for the calculation of the susceptibility matrix. The input file is in NumPy binary format that corresponds to the output format of chi0q in file.output section.

• trans\_mod

Type: String

**Description:** This parameter specifies the filename of the initial configuration exported from UHFk by the parameter file.output.rpa. It contains the one-body interaction term involving the approximated two-body interaction terms via UHF method.

• green\_init

Type: String

**Description:** This parameter specifies the filename of the initial Green's function for RPA calculation. The file format corresponds to the output file of green of UHFk. When trans\_mod is specified, green\_init is not used.

## file.input.interaction section

This section describes the relation of the interaction types and geometry information to the definition files.

• path\_to\_input

Type: String

**Description:** This parameter specifies the directory in which the input files are located. It is independent from path\_to\_input in file.input section.

• Geometry

Type: String

**Description :** This parameter specifies the filename for the geometry information.

• Transfer, CoulombIntra, CoulombInter, Hund, Ising, Exchange, PairLift, PairHop, Extern

Type: String

**Description:** These parameters specify the filenames for the definitions of the corresponding interaction terms. If none of two-body interaction term (CoulombIntra, CoulombInter, Hund, Ising, Exchange, PairLift, or PairHop) is specified, the program only calculates chi0q and exits.

## file.output section

• path\_to\_output

**Type:** String (default value is "output")

**Description:** This parameter specifies the directory in which the output files are stored.

• chi0q

Type: String

Description:

This parameter specifies the name of the file to store the irreducible susceptibility matrix  $\chi_0(\vec{q})$ . If it is not set, no output will be generated.

• chiq

**Type:** String

**Description :** This parameter specifies the name of the file to store the susceptibility matrix  $\chi(\vec{q})$ . If it is not set, no output will be generated.

## 4.2.2 Input files for RPA

In this section, the input files for the random phase approximation (RPA) are described. They are classified into two categories, and written in Wannier90 format.

(1) Geometry information

## Geometry

defines the geometrical information of the lattice.

(2) Interaction definitions

These files defines the Hamiltonian for UHF in the form of electron systems. They provide the coefficients of the interaction terms associated with the specified keywords.

The following keywords adopted in  $\mathcal{H}\Phi$  and mVMC in the Expert Mode are accepted.

#### **Transfer**

corresponds to one-body term denoted by  $c_{i\sigma_1}^{\dagger}c_{j\sigma_2}$ .

#### **Extern**

corresponds to external field for the one-body term denoted by  $\sigma^z_{\sigma_1\sigma_2}\,c^\dagger_{i\sigma_1}c_{j\sigma_2}.$ 

#### CoulombIntra

corresponds to the interaction denoted by  $n_{i\uparrow}n_{i\downarrow}$ , where  $n_{i\sigma}=c_{i\sigma}^{\dagger}c_{i\sigma}$ .

#### CoulombInter

corresponds to the interaction denoted by  $n_i n_j$ , where  $n_i = n_{i\uparrow} + n_{i\downarrow}$ .

#### Hund

corresponds to the interaction denoted by  $n_{i\uparrow}n_{j\uparrow} + n_{i\downarrow}n_{j\downarrow}$ .

#### **Ising**

corresponds to the interaction denoted by  $S_i^z S_i^z$ .

#### Exchange

corresponds to the interaction denoted by  $S_i^+ S_i^-$ .

### **PairLift**

corresponds to the interaction denoted by  $c^\dagger_{i\uparrow}c_{i\downarrow}c^\dagger_{j\uparrow}c_{j\downarrow}$  .

## PairHop

corresponds to the interaction denoted by  $c^\dagger_{i\uparrow}c_{j\uparrow}c^\dagger_{i\downarrow}c_{j\downarrow}$ .

The data formats are described in the following sectoins.

## Geometry input file

The Geometry input file describes the geometry information of the lattice. An example of the file is shown as follows.

```
3.7599302871
                                                     0.0000000000
                                                                                                            0.0000000000
0.0000000000
                                                      3.7599302871
                                                                                                            0.0000000000
0.0000000000
                                                     0.0000000000
                                                                                                            5.4822004186
-7.179835091886330E - 003 \\ -3.812050198019962E - 002 \\ 1.639284152926924E - 003 \\ -3.812050198019962E - 002 \\ -3.8120501998019962E - 002 \\ -3.81205019962E - 002 \\ -3.812050019962E - 002 \\ -3.81205019962E - 002 \\ -3.81205019962E - 002 \\
   1.346463812592166E-002 6.709778405878775E-003 -6.812442303544219E-003
  0.495705070884200
                                                                                      -0.457955704941170
                                                                                                                                                                             -4.077818544354700E-003
-1.577970702078565E-004 -2.999005205319096E-004 -1.190284144276225E-004
-1.302397074478660E-003 -5.021621895411691E-003 -3.514564279609852E-004
  0.504124376959700
                                                                                         0.457760356450585
                                                                                                                                                                             -2.634809811615298E-003
  0.499384075989520
                                                                                      -0.494227365093439
                                                                                                                                                                                 6.927730957590197E-003
 0.500170586121734
                                                                                          0.499747448247510
                                                                                                                                                                                  2.760670734661295E-003
   0.500734036298328
                                                                                          0.494793997305026
                                                                                                                                                                             -2.212377045150314E-003
```

## File format

• Lines 1-3: [ax\_i] [ay\_i] [az\_i]

• Line 4: [Norbit]

• Lines 5-: [vx\_i] [vy\_i] [vz\_i]

#### **Parameters**

• [ax\_i], [ay\_i], [az\_i]

Type: Float

**Description :** These parameters for i from 1 to 3 specify the primitive vectors  $\vec{a}_1, \vec{a}_2, \vec{a}_3$ .

• [Norbit]

Type: Integer

**Description :** This parameter specifies the number of orbitals  $N_{\text{orbit}}$  in a unitcell.

• [vx\_i], [vy\_i], [vz\_i]

Type: Float

**Description :** These parameters specify the Wannier center  $\vec{v}_i$  of each orbital in the fractional coordinates.

## **Usage rules**

• The indices of the orbitals are implicitly assigned from 1 to  $N_{\text{orbit}}$  in the order of the Wannier centers.

#### Interaction definition files

The interaction definition files describe the coefficients  $T_{\alpha\beta}(r_{ij})$ ,  $J_{\alpha\beta}(r_{ij})$ ,  $V_{\alpha\beta}(r_{ij})$ , or  $U_{\alpha}$  of the one-body and two-body Hamiltonian denoted by the following expressions. They are given in Wannier90(-like) format. It is noted that the generalized two-body interaction term (InterAll) is not supported in the random phase approximation,

#### Transfer:

$$\sum_{ij\alpha\beta\sigma} T_{\alpha\beta}(r_{ij}) c_{i\alpha\sigma}^{\dagger} c_{j\beta\sigma}$$

Extern:

$$\sum_{ij\alpha\beta\sigma_1\sigma_2} H_{\alpha\beta}(r_{ij}) \, \sigma^z_{\sigma_1\sigma_2} \, c^{\dagger}_{i\alpha\sigma_1} c^{\phantom{\dagger}}_{i\beta\sigma_2}, \quad \sigma^z = \mathrm{diag}(1, -1)$$

CoulombIntra:

$$\sum_{i\alpha} U_{\alpha} \, n_{i\alpha\uparrow} n_{i\alpha\downarrow}, \quad n_{i\alpha\sigma} = c_{i\alpha\sigma}^{\dagger} c_{i\alpha\sigma}$$

CoulombInter:

$$\sum_{ij\alpha\beta} V_{\alpha\beta}(r_{ij}) n_{i\alpha} n_{j\beta}, \quad n_{i\alpha} = n_{i\alpha\uparrow} + n_{i\alpha\downarrow}$$

**Hund:** 

$$\sum_{ij\alpha\beta} J_{\alpha\beta}^{\rm Hund}(r_{ij}) \left( n_{i\alpha\uparrow} n_{j\beta\uparrow} + n_{i\alpha\downarrow} n_{j\beta\downarrow} \right)$$

Ising:

$$\sum_{ij\alpha\beta} J_{\alpha\beta}^{\text{Ising}}(r_{ij}) S_{i\alpha}^z S_{j\beta}^z, \quad S_{i\alpha}^z = \frac{1}{2} (n_{i\alpha\uparrow} - n_{i\alpha\downarrow})$$

PairHop:

$$\sum_{ij\alpha\beta} J_{\alpha\beta}^{\text{PH}}(r_{ij}) c_{i\alpha\uparrow}^{\dagger} c_{j\beta\uparrow} c_{i\alpha\downarrow}^{\dagger} c_{i\beta\downarrow} + h.c.$$

### **Exchange:**

$$\sum_{ij\alpha\beta} J_{\alpha\beta}^{\text{Ex}}(r_{ij}) c_{i\alpha\uparrow}^{\dagger} c_{j\beta\uparrow} c_{j\beta\downarrow}^{\dagger} c_{i\alpha\downarrow}$$

### PairLift:

$$\sum_{ijlphaeta} J_{lphaeta}^{ ext{PairLift}}(r_{ij}) \, c_{ilpha\uparrow}^{\dagger} c_{ilpha\downarrow} c_{jeta\uparrow}^{\dagger} c_{jeta\downarrow}$$

An example of the file is shown below.

```
wannier90 format for vmcdry.out or HPhi -sdry
  245
1
     1
           1
                     1
                                    1
                                         1
                                              1
                                                   1
                                                                       1
1
     1
                                    1
                                         1
                                              1
1
          1
                     1
-3
    -3
          -2
               1
                     1
                       -0.0000269645
                                       -0.0000000000
-3
    -3
                     2
                       -0.0000071722
                                       -0.0000018600
-3
    -3
         -2
               1
                     3
                       -0.0000083990
                                        0.0000010972
-3
    -3
         -2
                    4 -0.0000000990
                                        0.0000000427
               1
-3
    -3
         -2
                     5 -0.0000018628
                                       -0.0000003609
                    6 -0.0000129504
-3
    -3
         -2
                                       -0.0000014047
-3
    -3
         -2
                    7 -0.0000189169
                                        0.0000024697
-3
    -3
         -2
               1
                    8
                        0.0000238115
                                        0.0000014316
-3
    -3
         -2
                    9
                        0.0000036708
                                       -0.0000003266
-3
    -3
         -2
                   10
                        0.0000361752
                                        0.0000003247
               1
-3
    -3
         -2
               2
                     1 -0.0000071722
                                        0.0000018600
-3
    -3
         -2
                     2
                         0.0000105028
                                       -0.0000000000
```

#### File format

- Line 1: Header
- Line 2: [Norbit]
- Line 3: [Npts]
- Lines 4  $\lceil N_{\rm pts}/15 \rceil + 3$ :

• Line  $\lceil N_{\rm pts}/15 \rceil + 4$  onwards:

#### **Parameters**

• [Norbit]

Type: Integer

**Description :** This parameter specifies the number of orbitals  $N_{\text{orbit}}$  in a unit cell.

• [Npts]

Type: Integer

**Description:** This parameter specifies the number of cells in a rectangular cuboid that accommodates entire translation vectors.

• [n1], [n2], ...

Type: Integer

**Description:** These parameters specify the multiplicity of cells (ordinary 1), with 15 points in a line.

• [rx], [ry], [rz]

Type: Integer

**Description:** These parameters specify the translation vector.

• [alpha], [beta]

Type: Integer

**Description :** These parameters specify the indices of the orbitals. [alpha] corresponds to the orbital  $\alpha$  in the original cell, and [beta] corresponds to the orbital  $\beta$  in the cell displaced by  $\vec{r}$ .

• [J.real], [J.imag]

Type: Float

**Description:** These parameters specify the real and imaginary parts of the coefficient  $J_{\alpha\beta}(\vec{r})$ .

#### **Usage rules**

- · Header cannot be omitted.
- The unspecified elements of the coefficient matrix are assumed to be zero.
- The translation vectors need to be enclosed within the CellShape. If the range of r\_x, r\_y, or r\_z exceeds the extent of x, y, or z dimension of CellShape, the program terminates with an error.
- When mode.enable\_spin\_orbital is set to true, the orbital indices of Transfer term are interpreted as the extended orbital indices including spin degree of freedom that ranges from 1 to  $2N_{\text{orbital}}$ . Otherwise, only the entries with the orbital indices from 1 to  $N_{\text{orbital}}$  are taken into account.

# 4.2.3 Output files of RPA

This section describes the file formats of the outputs of RPA.

#### chiq and chi0q

The susceptibility matrix and the irreducible susceptibility matrix are exported in NumPy zip (npz) format. Using the string (referred to as *chiq\_str*) specified by the keyword chiq or chiQq in file.output section in the parameter file, the filename is chosen as *chiq\_str*.npz.

The file contains several arrays bound to the following keys:

• chiq or chi0q:

The susceptibility matrix or the irreducible susceptibility matrix. Their data layout is described in the following sections.

• freq\_index:

The value or the range of Matsubara frequency is specified by matsubara\_frequency parameter. The array bound to freq\_index relates the index of the output data and the label of the actual Matsubara frequency.

• wavevector\_unit and wavevector\_index:

These arrays refer to the information of the wave number vectors. See *Output files of UHFk* for details.

When the sublattice is considered, the indices of the wave numbers and the orbitals are regarded as those of the sublattice.

The output file of chi0q can be used as a pre-calculated input of the irreducible susceptibility by specifying the file to chi0q\_init in file.input section.

#### Data format of chi0q

Data format of chi0q relies on the presence of spin-orbital interaction and external field, and the value of mode.calc\_scheme parameter, and takes one of the following:

· "spin-free" case:

If enable\_spin\_orbital parameter is set to false, or even if it is set to true when  $T_{\tilde{\alpha}\tilde{\beta}}(k)$  is diagonal and symmetric with respect to spin degree of freedom, while the external field is not present, the spin-independent irreducible susceptibility matrix is exported.

- When calc\_scheme = general, the array format takes the form of ndarray(1,q,a,ap,b,bp) whose indices are given as follows:
  - \* 1: label of Matsubara frequency. The map from the label to the index is provided by the aforementioned array freq\_index.
  - \* q: linearlized index of wave-number indices  $[q_x \ q_y \ q_z]$ , where  $q = q_z + N_z \cdot (q_y + N_y \cdot q_x)$ .
  - \* a, ap, b, bp: indices of the orbitals not including spin degree of freedom. They correspond to  $\alpha$ ,  $\alpha'$ ,  $\beta$ ,  $\beta'$ .
- When calc\_scheme = reduced or squashed, the array format takes the form of ndarray(1,q,a,b) whose indices are same as the above.
- "spin-diagonal" case:

If enable\_spin\_orbital parameter is set to false and the external field is present, or it is set to true while  $T_{\tilde{\alpha}\tilde{\beta}}(k)$  is diagonal with respect to spin degree of freedom, the spin-up and spin-down components of the irreducible susceptibility matrix are exported.

- When calc\_scheme = general, the array format takes the form of ndarray(s,1,q,a,ap,b,bp), where s = 0 denotes spin-up component and s = 1 does spin-down component. The other indices are same as the above.
- When calc\_scheme = reduced or squashed, the array format takes the form of ndarray(s,1,q,a,b). The indices are same as above.
- "spinful" case:

If enable\_spin\_orbital parameter is set to true, and  $T_{\tilde{\alpha}\tilde{\beta}}(k)$  takes a general form, the irreducible susceptibility matrix with the generalized orbital indices is exported.

- When calc\_scheme = general, the array format takes the form of ndarray(1,q,a,ap,b,bp), where a, ap, b, and bp corresponding to the generalized orbital indices including spin degree of freedom denoted by  $\tilde{\alpha}$ ,  $\tilde{\alpha}'$ ,  $\tilde{\beta}$ , and  $\tilde{\beta}'$ , respectively.

- When calc\_scheme = reduced, the array format takes the form of ndarray(1,q,a,b), where a and b corresponding to the generalized orbital indices  $\tilde{\alpha}$  and  $\tilde{\beta}$ , respectively.

### Data format of chiq

Data format of chiq takes the following form depending on the value of calc\_scheme parameter:

- When calc\_scheme = general, the array format takes the form of ndarray(1,q,a,ap,b,bp), where a, ap, b, and bp correspond to the generalized orbital indices including spin degree of freedom denoted by  $\tilde{\alpha}$ ,  $\tilde{\alpha}'$ ,  $\tilde{\beta}$ , and  $\tilde{\beta}'$ , respectively.
- When calc\_scheme = reduced, the array format takes the form of ndarray(1,q,a,b), where a and b correspond to the generalized orbital indices  $\tilde{\alpha}$  and  $\tilde{\beta}$ , respectively.
- When calc\_scheme = squashed, the array format takes the form of ndarray(1,q,s1,s2,a,s3,s4,b), where a and b correspond to the orbital indices  $\alpha$  and  $\beta$ , respectively, and s1, s2, s3, s4 denote spin indices  $\sigma$ ,  $\sigma'$ ,  $\sigma_1$ ,  $\sigma'_1$ , respectively. See *Algorithm* section for the notation.

## **Example for reading data**

The following code is an example for reading the data from the output file.

```
import numpy as np
data = np.load("chiq_str.npz")

chiq = data["chiq"]
freq_index = data["freq_index"]
```

## **ALGORITHMS**

## 5.1 Unrestricted Hartree-Fock method

#### 5.1.1 Overview

The unrestricted Hartree-Fock approximation is a method to approximate two-body interactions into one-body terms by taking account of the fluctuation of the one-body operators up to first order. For a general two-body interactions, it leads to the following approximation:

$$c_i^{\dagger} c_j^{\dagger} c_k c_l \sim \langle c_i^{\dagger} c_l \rangle c_j^{\dagger} c_k + c_i^{\dagger} c_l \langle c_j^{\dagger} c_k \rangle - \langle c_i^{\dagger} c_k \rangle c_j^{\dagger} c_l - c_i^{\dagger} c_k \langle c_j^{\dagger} c_l \rangle - (\langle c_i^{\dagger} c_l \rangle \langle c_j^{\dagger} c_k \rangle - \langle c_i^{\dagger} c_k \rangle \langle c_j^{\dagger} c_l \rangle).$$

In H-wave, the two-body interaction terms are defined as

$$\begin{split} \mathcal{H}_{\text{InterAll}} &= \sum_{ijkl\alpha\beta\gamma\delta} \sum_{\sigma_1\sigma_2\sigma_3\sigma_4} I_{ijkl\alpha\beta\gamma\delta} c^{\dagger}_{i\alpha\sigma_1} c_{j\beta\sigma_2} c^{\dagger}_{k\gamma\sigma_3} c_{l\delta\sigma_4} \\ &= \sum_{ijkl\alpha\beta\gamma\delta} \sum_{\sigma_1\sigma_2\sigma_3\sigma_4} I_{ijkl\alpha\beta\gamma\delta} (c^{\dagger}_{i\alpha\sigma_1} c^{\dagger}_{k\gamma\sigma_3} c_{l\gamma\sigma_4} c_{j\beta\sigma_2} + c^{\dagger}_{i\alpha\sigma_1} c_{l\delta\sigma_4} \delta_{j,k} \delta_{\beta,\gamma} \delta_{\sigma_2,\sigma_3}). \end{split}$$

It is noted that there is a one-body term as depicted in the second term of the above expression. Then, the Hamiltonian given by the one-body terms is generally denoted as

$$\mathcal{H}_{\text{UHF}} = \sum_{ij} H_{ij} c_i^{\dagger} c_j = \hat{c}^{\dagger} H \hat{c}$$
(5.1)

where we adopt a notation  $i \equiv (i, \alpha, \sigma_1), j \equiv (j, \beta, \sigma_2)$  for brevity, H denotes a matrix whose elements are  $H_{ij}$ , and  $\hat{c}$  denotes a column vector whose elements are  $c_i$ .

As H is an Hermite matrix, the Hamiltonian can be transformed into  $H = U\hat{\xi}U^{\dagger}$  where  $\hat{\xi}$  is a matrix whose diagonal elements are the eigenvalues of H, and U is a matrix composed of the corresponding eigenvectors.

Then, let  $\hat{d} = U^{\dagger} \hat{c}$ , and  $\mathcal{H}_{\text{UHF}}$  leads to

$$\mathcal{H}_{\text{UHF}} = \hat{d}^{\dagger} \hat{\xi} \hat{d} = \sum_{k} \xi_{k} d_{k}^{\dagger} d_{k}. \tag{5.2}$$

Therefore, the energy derived from the one-body interaction term of the UHF approximation is obtained by

$$E_{\text{UHF}} = \langle \mathcal{H}_{\text{UHF}} \rangle = \sum_{k} \xi_{k} \langle d_{k}^{\dagger} d_{k} \rangle. \tag{5.3}$$

In the numerical calculation, as H depends on the one-body Green's function  $\langle c_i^{\dagger} c_j \rangle$  through the UHF approximation, the equation is iteratively solved to satisfy the self-consistency. Starting from a one-body Green's function given as an

initial value, it is updated through the relation

$$\langle c_i^{\dagger} c_j \rangle = \sum_l U_{il}^* U_{jl} \langle d_l^{\dagger} d_l \rangle = \sum_l \frac{U_{il}^* U_{jl}}{1 + \exp^{\beta(\xi_l - \mu)}}$$
 (5.4)

until the one-body Green's function converges. Here,  $\beta$  denotes the inverse temperature  $1/k_BT$ , and  $\mu$  denotes the chemical potential. In the canonical calculation in which the number of particles is fixed,  $\mu$  is determined to satisfy the relation

$$N = \sum_{i} \langle c_i^{\dagger} c_i \rangle \tag{5.5}$$

for the number of particles N at every step.

In H-wave, the simple-mixing algorithm is employed to update the configuration. If we denote the one-body Green's function at n-th step by  $\langle c_i^{\dagger} c_j \rangle^{(n)}$ , the Green's function at n+1-th step is chosen by mixing that of n-th step with the new one obtained in n+1-th step as

$$\langle c_i^{\dagger} c_j \rangle^{(n+1)} := (1 - \alpha) \langle c_i^{\dagger} c_j \rangle^{(n)} + \alpha \langle c_i^{\dagger} c_j \rangle^{(n+1)}, \tag{5.6}$$

where  $\alpha$  is a parameter between 0 and 1. There are other update algorithms such as Anderson mixing, though they are not supported in the present version of H-wave.

In the coordinate-space UHF mode of H-wave, all interactions are mapped to InterAll form. The free energy at finite temperature is given by

$$F = \mu N - \frac{1}{\beta} \sum_{k} \ln\left[1 + \exp(-\beta(\xi_k - \mu))\right] - \sum_{ijkl} I_{ijkl} (\langle c_i^{\dagger} c_j \rangle \langle c_k^{\dagger} c_l \rangle - \langle c_i^{\dagger} c_l \rangle \langle c_k^{\dagger} c_j \rangle). \tag{5.7}$$

## 5.1.2 Extension to wave-number space

The Hamiltonian given by the one-body terms is rewritten in the wave-number representation by the Fourier transform  $c_i = \frac{1}{\sqrt{V}} \sum_k e^{ikr_i} c_k$  as

$$\mathcal{H}_{\text{UHF}} = \sum_{k\alpha\beta\sigma\sigma'} h_{\alpha\beta\sigma\sigma'}(k) c_{k\alpha\sigma}^{\dagger} c_{k\beta\sigma'}$$
(5.8)

Here, the interaction is assumed to have translational symmetry so that the coefficients depend only on the translation vectors  $r_{ij} = r_j - r_i$ . It is noted that InterAll type of interaction is not considered in the wave-number space UHF mode.

As the Hamiltonian is diagonal with respect to the wave number k, the calculation of the eigenvalues and eigenvectors reduces from diagonalization of a matrix of the size  $N_{\rm orbit} \times N_{\rm site} N_{\rm orbit}$  to that of  $N_{\rm site}$  matrices of the size  $N_{\rm orbit} \times N_{\rm orbit}$ , which lowers the calculation costs. Here,  $N_{\rm site}$  denotes the number of sites, and  $N_{\rm orbit}$  denotes the number of orbitals including the spin degree of freedom.

# **5.2 Random Phase Approximation**

The random phase approximation (RPA) is a method to detect the response to the fluctuations of one-body operators by the effect of electron correlations, starting from the non-interacting state. While in the UHF approximation an initial guess of the configuration is required, the RPA method enables to infer the ordered phase that emerges from the second-order transition. H-wave implements RPA method using Matsubara frequency, and allows to compare with the dynamical observables measured in the experiments by analytical continuation.

In the following, the algorithm is described. In the RPA mode of H-wave, the Hamiltonian given below will be considered:

$$\mathcal{H} = \mathcal{H}_{0} + \mathcal{H}_{\text{int}},$$

$$\mathcal{H}_{0} = \sum_{\langle i\alpha; j\beta \rangle} (t_{ij}^{\alpha\beta} c_{i\alpha}^{\dagger} c_{j\beta} + \text{H.c.}),$$

$$\mathcal{H}_{\text{int}} = \sum_{ij} \sum_{\alpha, \alpha', \beta, \beta'} W_{ij}^{\beta\beta', \alpha\alpha'} \left( c_{i\alpha}^{\dagger} c_{i\alpha'} c_{j\beta'}^{\dagger} c_{j\beta} + \text{H.c.} \right)$$
(5.9)

Applying the Fourier transformation

$$c_{i\alpha} = \frac{1}{\sqrt{N_L}} \sum_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r_i}} c_{\mathbf{k},\alpha}, \tag{5.10}$$

the Hamiltonian is rewritten in the following form

$$\begin{split} \mathcal{H} &= \sum_{\mathbf{k}\alpha\beta} (\varepsilon_{\alpha\beta}(\mathbf{k}) c^{\dagger}_{\mathbf{k}\alpha} c_{\mathbf{k}\beta} + \text{H.c.}) \\ &+ \frac{1}{2N_L} \sum_{\mathbf{k}\mathbf{k'}\mathbf{q}} \sum_{\alpha\beta\alpha'\beta'} W^{\beta\beta',\alpha\alpha'}_{\mathbf{q}} c^{\dagger}_{\mathbf{k}+\mathbf{q},\alpha} c_{\mathbf{k},\alpha'} c^{\dagger}_{\mathbf{k'}-\mathbf{q},\beta'} c_{\mathbf{k'},\beta} \end{split}$$

In the random phase approximation, the density fluctuation by the effect of electron correlation is detected with respect to  $\mathcal{H}_0$ . The scattering by the interaction must therefore be considered on the basis where  $\mathcal{H}_0$  is diagonalize, and thus the interaction term is approximated as

$$\begin{split} W_{\mathbf{q}}^{\beta\beta',\alpha\alpha'}c_{\mathbf{k}+\mathbf{q},\alpha}^{\dagger}c_{\mathbf{k},\alpha'}c_{\mathbf{k}'-\mathbf{q},\beta'}^{\dagger}c_{\mathbf{k}',\beta} \\ \sim W_{\mathbf{q}}^{\beta\beta',\alpha\alpha'}\sum_{\gamma,\gamma'}u_{\alpha\gamma,\mathbf{k}+\mathbf{q}}^{*}d_{\mathbf{k}+\mathbf{q},\gamma}^{\dagger}u_{\alpha'\gamma,\mathbf{k}}d_{\mathbf{k},\gamma}u_{\beta'\gamma',\mathbf{k}'-\mathbf{q}}^{*}d_{\mathbf{k}'-\mathbf{q},\gamma'}^{\dagger}u_{\beta\gamma',\mathbf{k}'}d_{\mathbf{k}',\gamma'}. \end{split}$$

Here,

$$c_{\mathbf{k},\alpha} = \sum_{\gamma} u_{\alpha\gamma,\mathbf{k}} d_{\mathbf{k},\gamma} \tag{5.11}$$

and  $d_{\mathbf{k},\gamma}$  denotes the annihilation operator that diagonalizes  $\mathcal{H}_0$ . ( $\gamma$  refers to the index of the eigenvalue.) Then, the irreducible one-body Green's function is written as

$$G_{\gamma}^{(0)\alpha\beta}(\mathbf{k}, i\omega_n) = \frac{u^{\alpha\gamma}(\mathbf{k})u^{*\beta\gamma}(\mathbf{k})}{i\epsilon_n - \xi^{\gamma}(\mathbf{k}) + \mu}.$$
(5.12)

The irreducible susceptibility is given in the following form, as it must be closed within the diagnoalized elements:

$$X^{(0)\alpha\alpha',\beta\beta'}(\mathbf{q},i\omega_n) = -\frac{T}{N_L} \sum_{\gamma=1}^{n_{\text{orb}}} \sum_{\mathbf{k},n} G_{\gamma}^{(0)\alpha\beta}(\mathbf{k} + \mathbf{q},i\omega_m + i\epsilon_n) G_{\gamma}^{(0)\beta'\alpha'}(\mathbf{k},i\epsilon_n), \tag{5.13}$$

By using the irreducible susceptibility, the susceptibility matrix from the RPA is obtained as follows:

$$X^{\alpha\alpha',\beta\beta'}(q) = X^{(0)\alpha\alpha',\beta\beta'}(q) - \sum_{\alpha'_1\beta'_1} X^{(0)\alpha\alpha',\beta_1\beta'_1}(q) W_{\mathbf{q}}^{\beta_1\beta'_1,\alpha_1\alpha'_1} X^{\alpha_1\alpha'_1,\beta\beta'}(q), \tag{5.14}$$

Combining indices such as  $\alpha\alpha'$  into one index, they are expressed in the matrix form. Then finally it leads to the expression:

$$\hat{X}(q) = \hat{X}^{(0)}(q) - \hat{X}^{(0)}(q)\hat{W}(q)\hat{X}(q)$$
$$= \left[\hat{I} + \hat{X}^{(0)}(q)\hat{W}(q)\right]^{-1}\hat{X}^{(0)}(q).$$

In the above formula, orbitals and spins were treated as unified generalised orbitals. Of the arrays needed to perform the calculations, the susceptibility ( $X^{(0)\alpha\alpha',\beta\beta'}(\mathbf{q},i\omega_n)$ ,  $X^{\alpha\alpha',\beta\beta'}(\mathbf{q},i\omega_n)$ ) is the largest multidimensional array, given by  $N_{\mathrm{orb}}^4 N_{\mathrm{spin}}^4 N_k N_\omega$ , where the memory cost and computational complexity increase as the size increases. As explained below, the size of the multidimensional array of susceptibilities can be reduced by separating orbits and spins: for the two-body interactions handled in H-wave's RPA mode, separating orbits and spins results in

$$W_{\mathbf{q}}^{\beta\sigma_{1}\sigma'_{1},\alpha\sigma\sigma'}c_{\mathbf{k}+\mathbf{q},\alpha\sigma}^{\dagger}c_{\mathbf{k},\alpha\sigma'}c_{\mathbf{k}'-\mathbf{q},\beta\sigma'_{1}}^{\dagger}c_{\mathbf{k}',\beta\sigma_{1}}.$$
(5.15)

Since the scattering is on the same diagonalized general orbital, the irreducible susceptibility becomes

$$X_{\sigma\sigma'\sigma_{1}\sigma'_{1}}^{(0)\alpha,\beta}(\mathbf{q},i\omega_{n}) = -\frac{T}{N_{L}} \sum_{\gamma=1}^{\text{norb}} \sum_{\mathbf{k},n} G_{\sigma\sigma'_{1},\gamma}^{(0)\alpha\beta}(\mathbf{k} + \mathbf{q},i\omega_{m} + i\epsilon_{n}) G_{\sigma_{1}\sigma',\gamma}^{(0)\beta\alpha}(\mathbf{k},i\epsilon_{n}).$$
(5.16)

The array size can be reduced to  $N_{
m orb}^2 N_{
m spin}^4 N_k N_\omega$ . Then susceptibility matrix by RPA is obtained as follows:

$$X_{\sigma\sigma'\sigma_{1}\sigma'_{1}}^{\alpha,\beta}(q) = X_{\sigma\sigma'\sigma_{1}\sigma'_{1}}^{(0)\alpha,\beta}(q) - \sum_{\alpha'_{1}\beta'_{1}} X_{\sigma\sigma'\sigma_{2}\sigma'_{2}}^{(0)\alpha,\alpha_{2}}(q) W_{\sigma_{2}\sigma'_{2},\sigma_{3}\sigma'_{3}}^{\alpha_{2},\alpha_{3}}(\mathbf{q}) X_{\sigma_{3}\sigma'_{3},\sigma_{1}\sigma'_{1}}^{\alpha_{3},\beta}(q).$$

$$(5.17)$$

If  $\alpha\sigma\sigma'$  is regarded as a single index, it can be put into matrix form and, as in the case of generalised orbitals, can be used as a

$$\hat{X}(q) = \hat{X}^{(0)}(q) - \hat{X}^{(0)}(q)\hat{W}(q)\hat{X}(q)$$
$$= \left[\hat{I} + \hat{X}^{(0)}(q)\hat{W}(q)\right]^{-1}\hat{X}^{(0)}(q).$$

The above formula is the general formula for the RPA method.

In the above formula, the calculation of the irreducible susceptibility is performed as follows:

$$X_{\sigma\sigma'\sigma_1\sigma'_1}^{(0)\alpha,\beta}(\mathbf{q},i\omega_n) = -\frac{T}{N_L} \sum_{\gamma=1}^{n_{\rm orb}} \sum_{\mathbf{k},n} G_{\sigma\sigma'_1,\gamma}^{(0)\alpha\beta}(\mathbf{k} + \mathbf{q},i\omega_m + i\epsilon_n) G_{\sigma_1\sigma',\gamma}^{(0)\beta\alpha}(\mathbf{k},i\epsilon_n)$$

In this case, the sum of the diagonalized components is required, which is computationally more expensive. In many previous studies, the one body Green's function is calculated as follows:

$$G_{\sigma\sigma'}^{(0)\alpha\beta}(\mathbf{k}, i\omega_n) = \sum_{\gamma=1}^{n_{\text{orb}}} G_{\sigma\sigma',\gamma}^{(0)\alpha\beta}(\mathbf{k}, i\omega_n).$$
 (5.18)

The irreducible susceptibility is calculated as follows:

$$X^{(0)\alpha,\beta}_{\sigma\sigma'\sigma_1\sigma'_1}(\mathbf{q},i\omega_n) = -\frac{T}{N_L} \sum_{\mathbf{k},n} G^{(0)\alpha\beta}_{\sigma\sigma'_1}(\mathbf{k} + \mathbf{q},i\omega_m + i\epsilon_n) G^{(0)\beta\alpha}_{\sigma_1\sigma'}(\mathbf{k},i\epsilon_n).$$

Though this method may lead to poor accuracy when the diagonalized components are mixed, there is an advantage that there is no need for technical consideration for  $\gamma$  due to band intersections. In order to make comparisons with previous studies, H-Wave has adopted this approach (a mode for correctly handling the Green's functions and susceptibilities will also be implemented). It is noted that the vertex correction may be taken into account as a means to consider higher order correlations. See, for example, reference  $^{\rm I}$  for the details.

<sup>&</sup>lt;sup>1</sup> K. Yoshimi, T. Kato, H. Maebashi, J. Phys. Soc. Jpn. 78, 104002 (2009).

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# **ACKNOWLEDGMENTS**

H-wave was developed with the support of "Project for advancement of software usability in materials science" (FY2022) of The Institute for Solid State Physics, The University of Tokyo.

**APPENDIX** 

Δ

# **APPENDIX**

# A.1 Generation of interaction files using StdFace library

# A.1.1 Compile StdFace library

The interaction definition files can be generated easily using StdFace library. We will provide a short instruction how to use it.

The source package of StdFace library that supports input formats of the Hwave is available from the repository as follows.

```
$ git clone https://github.com/issp-center-dev/StdFace.git
```

Then, the library is to be compiled with the commands:

```
$ cd StdFace
$ mkdir build && cd build
$ cmake -DHWAVE=ON ..
$ make
```

If the compilation is successful, you can find the executable module hwave\_dry.out in src directory.

An input to hwave\_dry.out can be found as stan.in in the sample directory, which reads:

```
model = "Hubbard"
lattice = "square"
W = 4
L = 4
t = 1.0
t' = 0.5
U = 4.0
V = 1.0
Ncond = 16
eps = 12
calcmode = "uhfk"
exportall = 0
```

- model is a keyword to choose the target model. Currently, only Hubbard is supported that denotes Hubbard model with the number of electrons fixed.
- lattice is a keyword to specify the lattice structure. In this example, the square lattice square is chosen. W and L denote the size of the lattice.

- t and V denote parameters of the hopping and the neighbor-site Coulomb interaction, respectively.
- calcmode = "uhfk" and calcmode = "rpa" specify the output to be in the Wannier90(-like) format. calcmode = "uhfr" specifies the output for input files of UHFr. The default is calcmode = "uhfk". If exportall = 0 is given, the outputs are compactified with zero components omitted.

See Section Input files for UHFr, Input files for UHFk, Input files for RPA for the details of input files.

## A.1.2 Run StdFace library

Then, run hwave\_dry.out with the file above as an input:

```
$ cd path_to_Hwave/docs/tutorial/Hubbard/RPA
$ ln -s path_to_Stdface/build/src/hwave_dry.out .
$ ./uhf_dry.out stan.in
```

When the program finishes, a geometry information file geom.dat and interaction definition files transfer.dat and coulombinter.dat, are generated in the current directory.

# A.2 List of error messages

```
• mode is not defined in [mode].
 description: mode parameter is missing in [mode] section of the input parameter file.
 mode: main
• Get_param: key must be mod or ham or output.
 description: unsupported keyword is given to get_param().
 mode: UHFr (read input)
• duplicate items found in file
 description: the file file contains duplicate entries.
 mode : UHFr (read_input)
• incorrect number of lines in file: expected= N, found= M
 description: number of lines of the input file does not match the description in the file.
 mode: UHFr (read input)
• Unknown keyword keyword
 description: unsupported keyword is found in [file.input.interaction].
 mode : UHFk (read_input_k)
• initial and initial_uhf can not be specified simultaneously.
 description: initial and initial_uhf cannot be specified simultaneously.
 mode : UHFk (read_input_k)
• read_input_k: file file not found
 description: the file file cannot be found.
 mode : UHFk (read_input_k)
```

```
• Get_param: key must be mod or ham or output.
 description: unsupported keyword is given to get_param().
 mode : UHFk (read_input_k)
• read_geom: file file not found
 description: the file file specified by Geometry keyword cannot be found.
 mode: UHFk (wan90)
• mode.param.2Sz must be even(odd) when Ncond is even(odd).
 description: even/odd mismatch between 2Sz and Ncond.
 mode: solver base
• range check for type failed.
 description: the value of type is not appropriate.
 mode: UHFk
• _check_cellsize failed. interaction range exceeds cell shape.
 description: some of translation vectors of the interaction description do not lie within the CellShape.
 mode: UHFk
• Hermiticity check failed: |T_ba(-r)^* - T_ab(r)| = val
 description: Transfer term is not Hermite.
 mode: UHFk
• Parameter range check failed for param_mod.
 description: the parameter value in [mode.param] is out of range.
 mode: solver base
• Parameter check failed for param_mod.
 description: the parameter value in [mode.param] is inappropriate.
 mode: solver base
• Hermite check failed for type
 description: type is not Hermite.
 mode: UHFr
• Parameter check failed for info_mode.
 description: the parameter value in [mode] is inappropriate.
 mode: solver base
• value not integer
 description: the parameter value is not an integer.
 mode: RPA
• Lattice initialization failed: 'CellShape' not found.
 description: CellShape is missing in [mode.param].
 mode: RPA
```

```
• Ncond must be greater than zero: Ncond= Ncond
 description: the value of Ncond is not appropriate.
 mode: RPA
• Nmat must be greater than zero: Nmat= Nmat
 description: the value of Nmat is not appropriate.
 mode: RPA
• RPA._find_mu: not converged. abort
 description: the calculation of mu does not converge.
 mode: RPA
• SubShape is not compatible with CellShape.
 description: the value of SubShape does not divide that of CellShape.
 mode: RPA
• T must be greater than or equal to zero: T=T
 description: the value of T is not appropriate.
 mode: RPA
• both mu and Ncond or filling are specified
 description: mu` and ``Ncond or filling should not specified simultaneously.
 mode: RPA
• dimension of CellShape must be one, two, or three.
 description: the dimension of CellShape is not appropriate.
 mode: RPA
• dimension of SubShape does not match with that of CellShape.
 description: the dimension of SubShape is not appropriate.
 mode: RPA
• invalid CellShape.
 description: the value of CellShape is not appropriate.
 mode: RPA
• invalid SubShape.
 description: the value of SubShape is not appropriate.
 mode: RPA
• none of mu, Ncond, nor filling is specified
 description: one of mu, Ncond, or filling should be specified.
 mode: RPA
• read_chi0q failed: info
 description: reading chi0q from file was not successful.
 mode: RPA
```

• round\_to\_int: unknown mode mode **description**: unsupported rounding mode is specified. mode: RPA • unexpected data size *error* **description**: data size is not as expected. mode: RPA • mode is not defined in [mode]. **description**: the mode parameter is missing in [mode]. mode: RPA • orbital index check failed for type **description**: the indices of the orbitals are inappropriate. mode: UHFk • initial green function in coord space requires geometry.dat **description**: geometry.dat must also be specified when the coordinate space Green's function. mode: UHFk • CellShape is missing. abort **description**: CellShape parameter is missing. mode: UHFk • Ncond or Nelec is missing. abort **description**: Ncond or Nelec parameter is missing. mode: UHFk • SubShape is not compatible with CellShape. abort **description**: the value of SubShape does not divide that of CellShape. mode: UHFk \_check\_orbital\_index failed. invalid orbital index found in interaction definitions. **description**: the indices of the orbitals in interaction definition files are inappropriate. mode: UHFk • \_save\_greenone: onebodyg\_uhf and geometry\_uhf are required **description**: onebodyg\_uhf and geometry\_uhf are not provided. mode: UHFk • find mu: not converged. abort **description**: the calculation of mu does not converge. mode: UHFk • range check failed for Initial

mode: UHFr

**description**: the values of Initial are inappropriate.

• OneBodyG is required to output green function.

```
description: OneBodyG is missing for the output of Green's function.
 mode: UHFr
• hermite check failed for Initial
 description: Initial is not Hermite.
 mode: UHFr
• Range check failed for Transfer
 description: the indices of Transfer definition file are out of range.
 mode: UHFr

    Range check failed for type

 description: the indices of type definition file are out of range.
 mode: UHFr
• parameter range check failed.
 description: the value of the parameter is not appropriate.
 mode: UHFr
• mode is incorrect: mode= mode
 description: mode parameter is not appropriate.
 mode: UHFr
• mode.param. key must be greater than value
 description : the value of parameter key in [mode.param] is inappropriate.
 mode: solver base [warning]
• "mode.param. key must be smaller than value
 description : the value of parameter key is [mode.param] is inappropriate.
 mode: solver base [warning]
• mode.param. key is not defined.
 description: parameter key is not found in [mode.param].
 mode: solver base [warning]
• mode. key in mode section is incorrect: values
 description: mode parameter in [mode] section is not valid.
 mode: solver base [warning]
• mode. key is not defined.
 description: mode parameter is not found in [mode] section.
 mode: solver base [warning]
• TRUST-ME mode enabled. parameter checks are relaxed
 description: TRUST-ME mode is enabled. the parameter checks will be omitted.
 mode: solver base [warning]
```

```
• value not integer
 description: the specified value is not an integer.
 mode : RPA [warning]
• mode is incorrect: mode= mode
 description: mode parameter is not valid.
 mode: RPA [warning]
• FATAL: 2Sz= value . 2Sz should be even for calculating fij
 description: 2Sz must be an even number for the calculation of f_{ij}.
 mode : UHFr [warning]
• FATAL: Ne= value . Ne should be even for calculating fij
 description: Ne must be an even number for the calculation of f_{ij}.
 mode : UHFr [warning]
• NOT IMPLEMENTED: Sz even and Sz != 0: this case will be implemented in near future
 description: the calculation of f_{ij} is not yet supported when Sz is an even number except zero.
 mode: UHFr [warning]
• key key is wrong!
 description: the keyword key is invalid.
 mode: UHFr [warning]
• UHFr calculation is failed: rest=residue, eps=eps
 description: the calculation of UHFr does not converge.
 mode : UHFr [warning]
```