

# Tutorial for DCore

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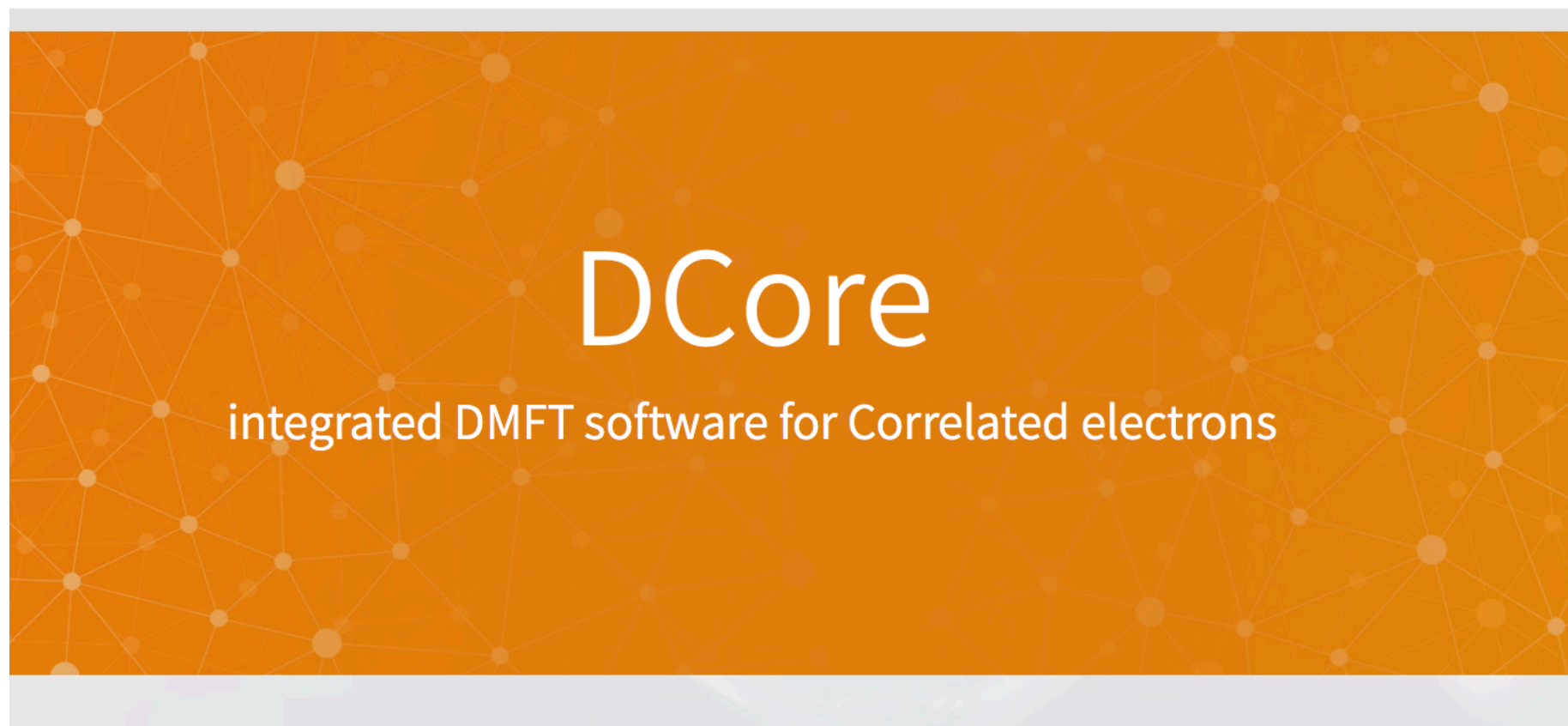
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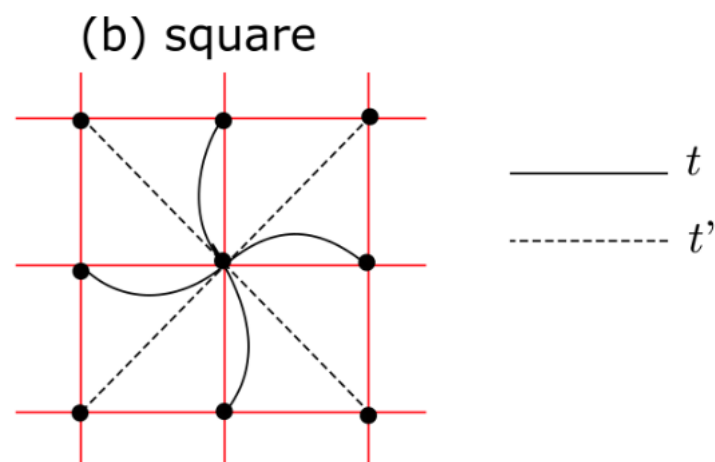
- 2D Hubbard Model
- Antiferromagnetic state in 3D Hubbard model
- DFT+DMFT

# 2D Hubbard model (1)

- Sample file : PathToDCore/doc/tutorial/square

[model] section

- About lattice



ref.) `dmft_square.ini`

```
[model]
seedname = square
lattice = square
norb = 1
nelec = 1.0
t = -1.0
kanamori = [(2.0, 0.0, 0.0)]
nk = 8
```

- About Interaction term

$$\mathcal{H}_{\text{int}} = \frac{1}{2} \sum_{i, \alpha\beta\gamma\delta\sigma\sigma'} U_{\alpha\beta\gamma\delta}^i c_{i\alpha\sigma}^\dagger c_{i\beta\sigma'}^\dagger c_{i\delta\sigma'} c_{i\gamma\sigma}$$

Interaction = kanamori

$$U_{\alpha\alpha\alpha\alpha}^i = U_i, U_{\alpha\beta\alpha\beta}^i = U'_i (\alpha \neq \beta), U_{\alpha\beta\beta\alpha}^i = J_i (\alpha \neq \beta), U_{\alpha\alpha\beta\beta}^i = J_i (\alpha \neq \beta)$$

`kanamori = [(U_1, U'_1, J_1), (U_2, U'_2, J_2), ...]`

define U, U', J at each correlated shell (default: ncorr = 1)

# 2D Hubbard model (2)

```
[system]
beta = 40.0           Inverse temperature
n_iw = 1000          Number of Matsubara frequencies
prec_mu = 0.001      Threshold for calculating chemical potential
[impurity_solver]    with the bisection method.
name = TRIQS/hubbard-I
```

```
[control]
max_step = 5         Maximum steps of DMFT loops
sigma_mix = 1.0      Mixing parameter for self-energy
[tool]              → for dcore_post
broadening = 0.4     An additional Lorentzian broadening
knode = [(G,0.0,0.0,0.0),(X,0.5,0.0,0.0),(M,0.5,0.5,0.0),(G,0.0,0.0,0.0)]
nk_line = 100        Number of k along each line
omega_max = 6.0      Max value of real frequency
omega_min = -5.0     Minimum value of real frequency
Nomega = 400         Number of real frequencies
[mpi]
command = "mpijob"   Command for executing a MPI job.
                    # will be relaced by the number of processes.
```

# 2D Hubbard model (3)

- Execute on sekirei ( finish in a few minutes)

```
$ mkdir square
$ cp -rf /home/issp/materiapps/dcore/dcore-2.0.2-1/examples/square .
$ cp /home/issp/materiapps/dcore/sample_jobscript/dcore.sh .
$ qsub dcore.sh
```

- Execution of DCore

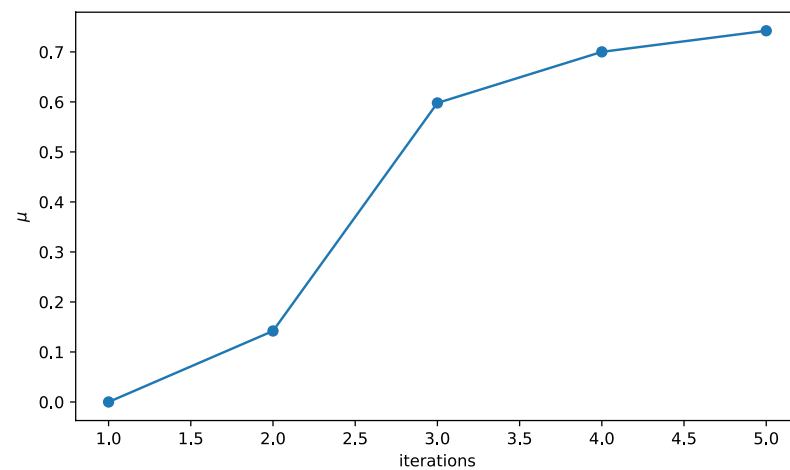
## Output files

```
$ dcore_pre dmft_square.ini → square.h5
$ dcore dmft_square.ini --np 4 → square.out.h5
$ dcore_check dmft_square.ini --ext=eps → check/*.dat, *.eps
$ dcore_post dmft_square.ini --np 4 → post/*.dat
```

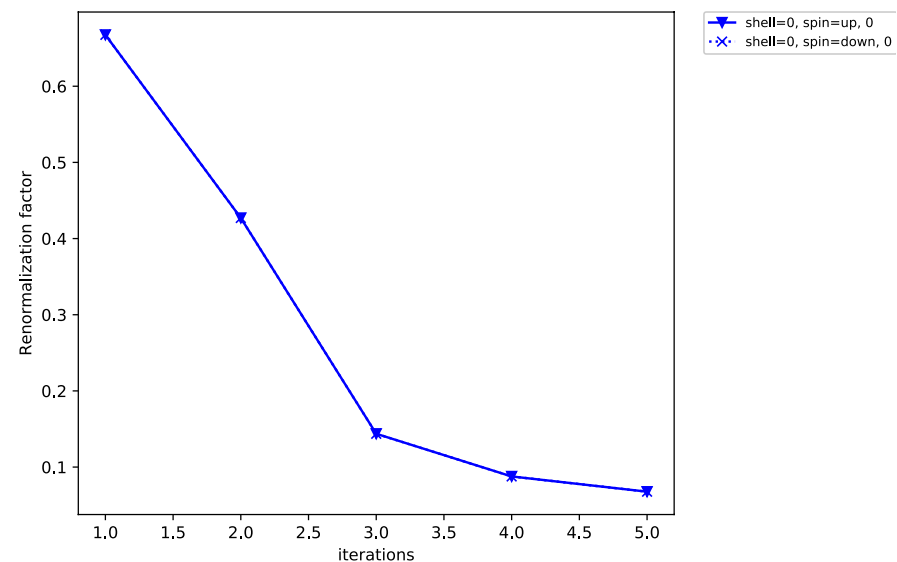
# 2D Hubbard model (4)

check/

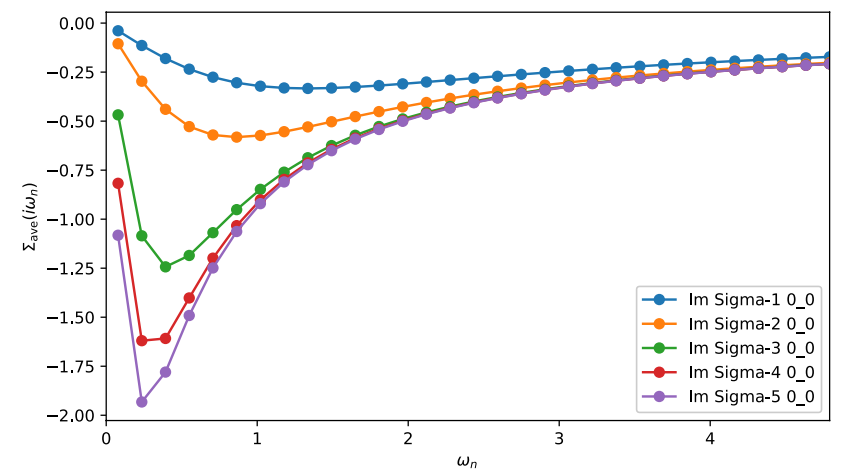
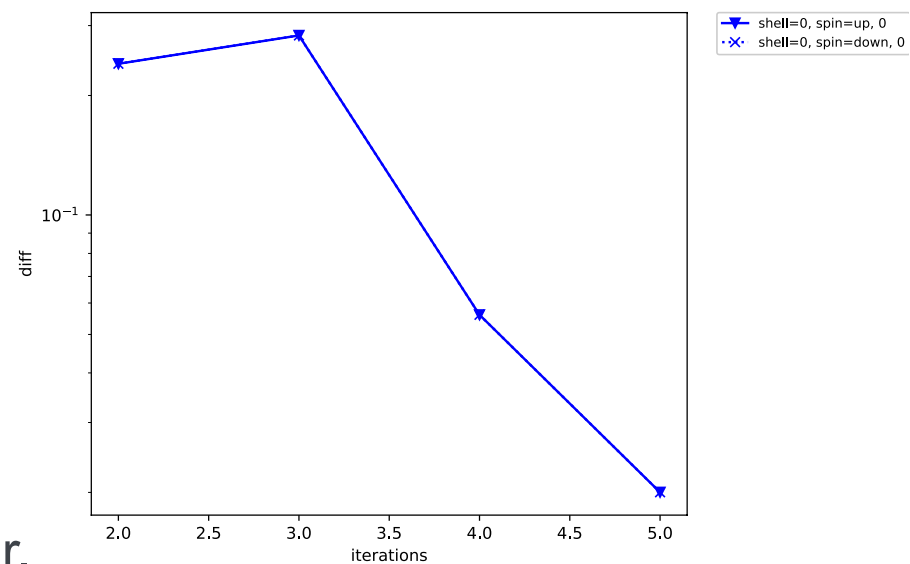
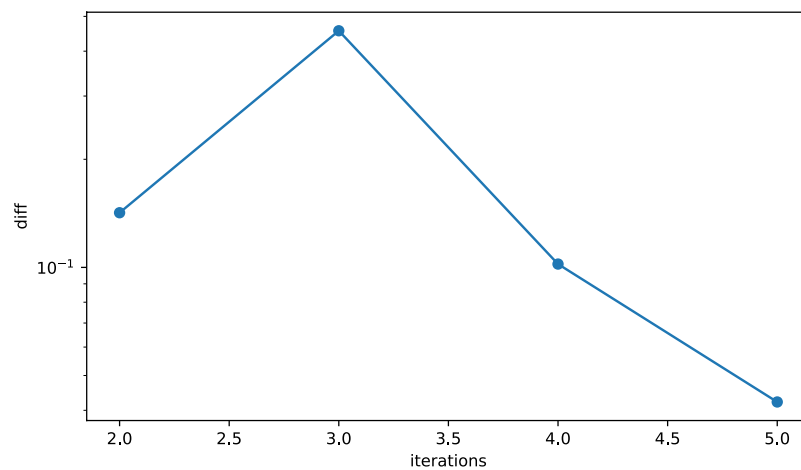
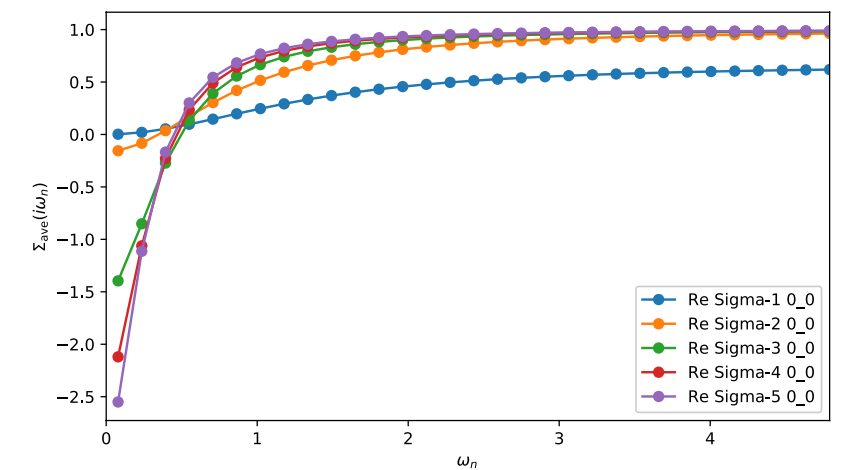
iter\_mu.eps



iter\_sigma-ish0.eps



sigma\_ave.eps



The chemical potential as a function of iteration number.

The renormalization factor as a function of iteration number.

The averaged self-energy at the last seven iterations.

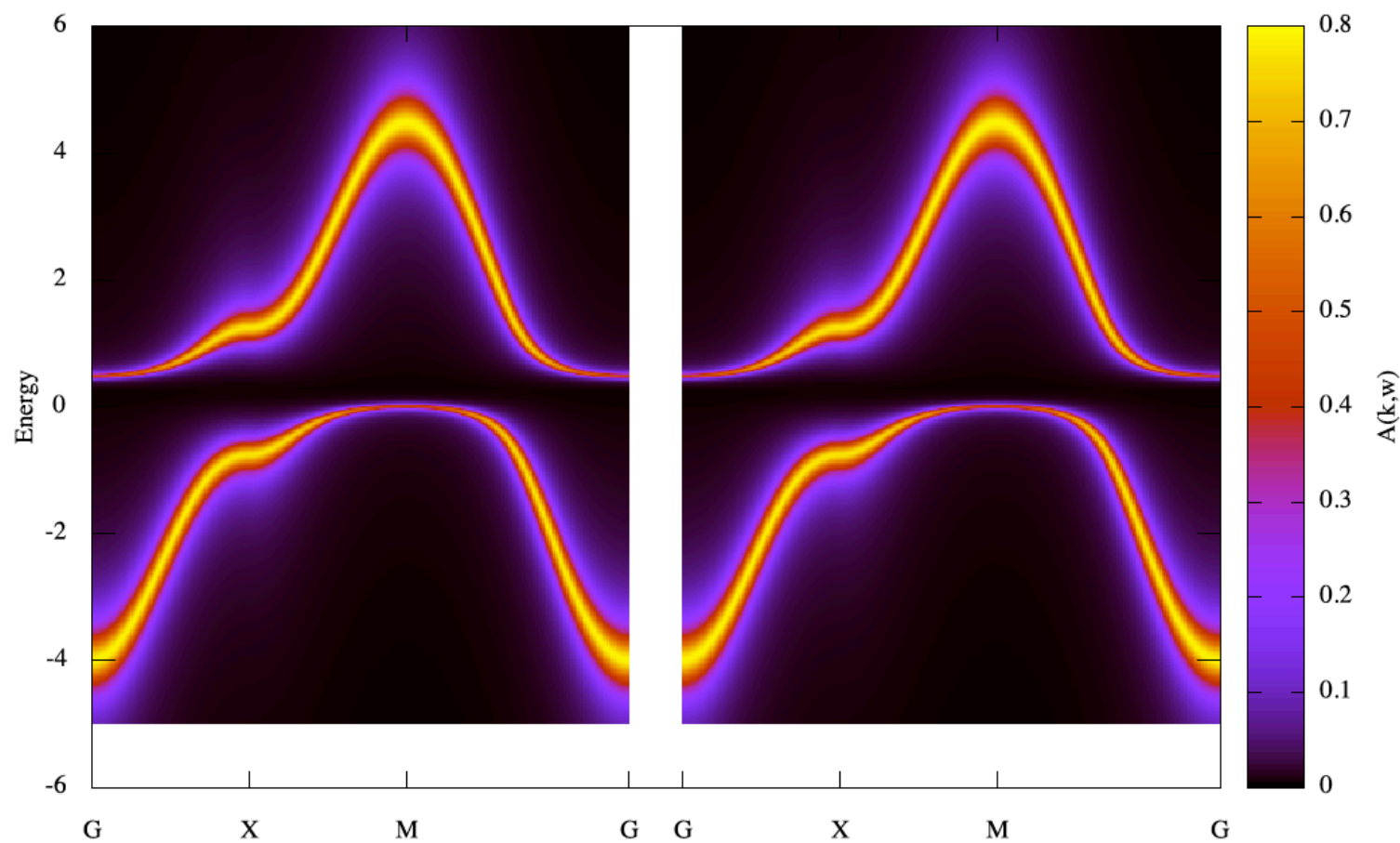
$$\Sigma_{\text{Ave}}(i\omega_n) = \left[ \sum_i^{\text{shell}} \sum_{\alpha\beta}^{N_{\text{orb}}^i} \Sigma_{\alpha\beta}(i\omega) \right] / \left[ \sum_i^{\text{shell}} N_{\text{orb}}^i \right],$$

# 2D Hubbard model (5)

post/

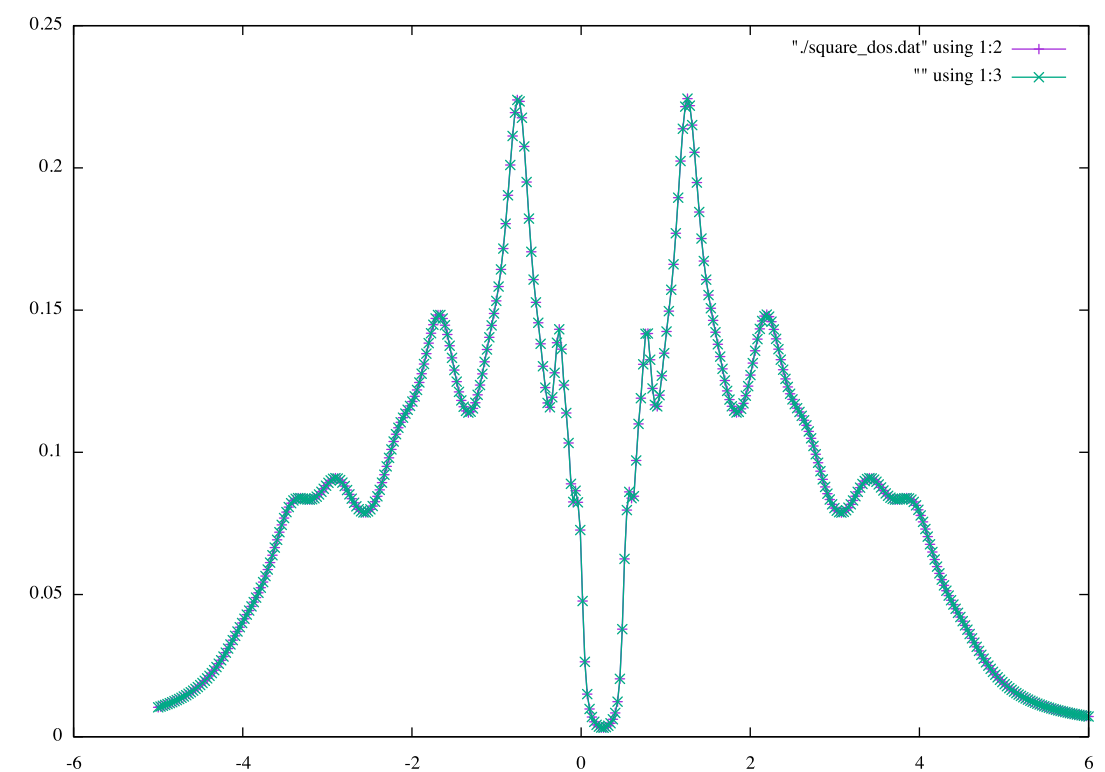
square\_akw.dat

Momentum-resolved spectral function



square\_dos.dat

Density of States



```
$ gnuplot square_akw.gp
```

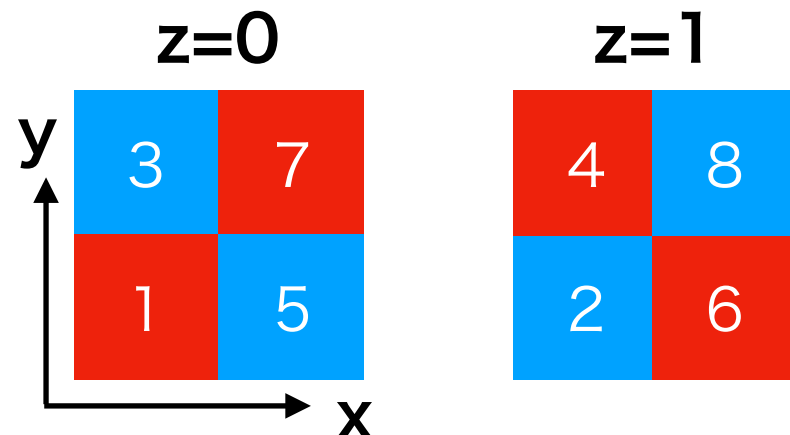
# Antiferromagnetic state in 3D Hubbard model (1)

- Sample file : PathToDCore/doc/tutorial/afm

ref.) cubic.ini

```
[model]
seedname = cubic
lattice = wannier90
ncor = 8
#Numbers of orbitals on two inequivalent shells
norb = 1, 1
nelec = 8.0
kanamori = [(10.0, 0.0, 0.0), (10.0, 0.0, 0.0)]
#Mapping from correlated shells to two inequivalent shells
corr_to_inequiv = 0, 1, 1, 0, 1, 0, 0, 1
nk = 16
```

ncor = 8 (2 inequivalent shells)



corr\_to\_inequiv



→ 0, 1, 1, 0, 1, 0, 0, 1



# Antiferromagnetic state in 3D Hubbard model (2)

## Wannier90 format

- **Line 1**  
File Header
- **Line 2**  
Total number of wannier functions.
- **Line 3**  
Total number of super cells `nrpts`
- **Line 4- Line 5 +  $\text{int}(\text{nrpts}/15)$**   
The degeneracy at each super cell (basically, the number is set as 1).
- **Line 6 +  $\text{int}(\text{nrpts}/15)$  -**  
1-3rd columns: The supercell lattice vectors.  
4-th column: The index of wannier functions at the original cell.  
5-th column: The index of wannier functions at the supercell.  
6(7)-th column: The real (imaginary) value.

```
wannier90 format for mvmdry
8
343
1 1 1 1 1 1 1 1 1 1 1 1 1
...
-3 -3 -3 1 1 0.0004104251 -0.0000000000
-3 -3 -3 1 2 0.0001515941 -0.0000000006
-3 -3 -3 1 3 -0.0001515941 0.0000000002
```

(See details in the `8.19 seedname_hr.dat` in the `user_guide` for wannier90.)

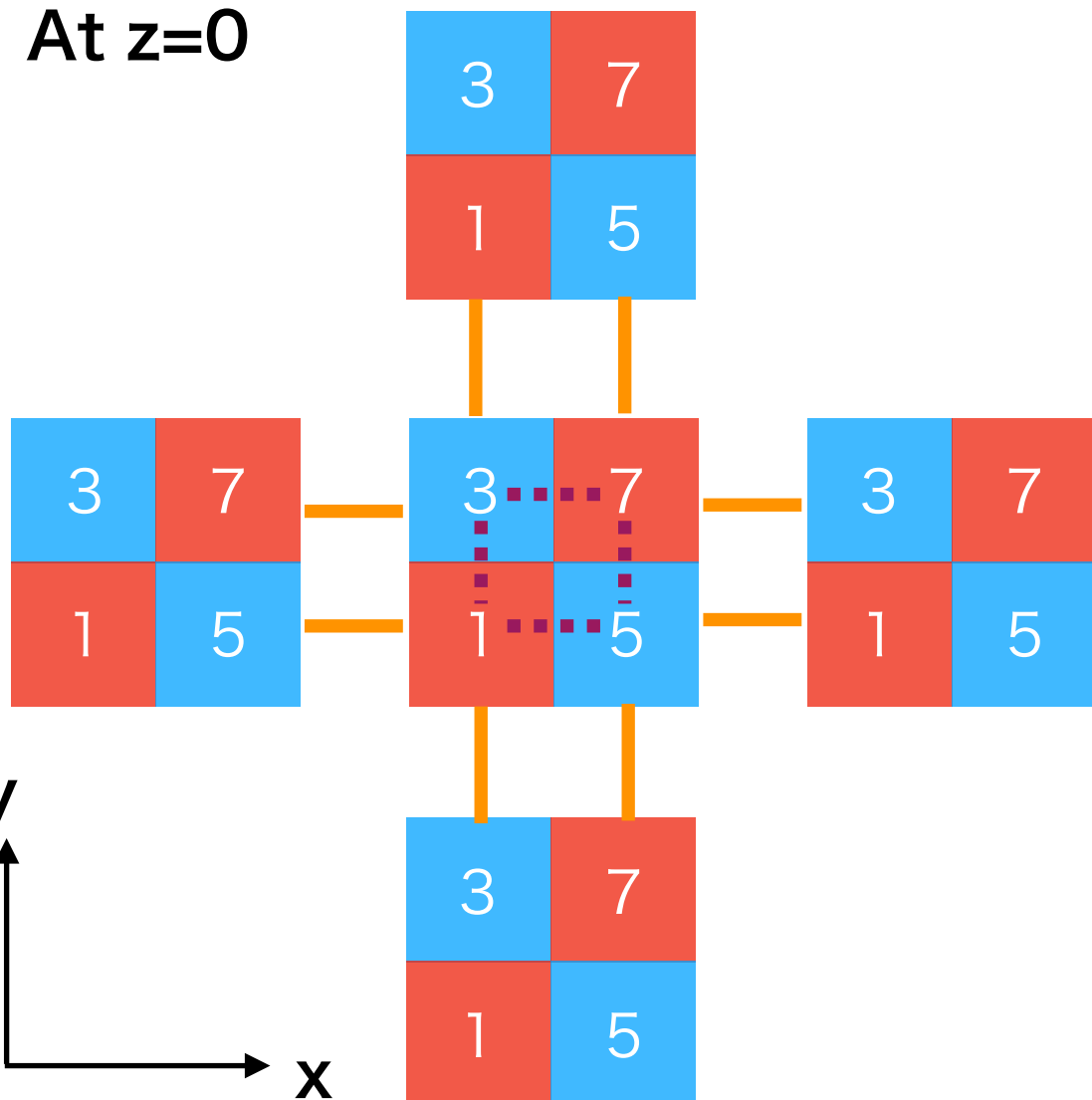
ref. ) <http://issp-center-dev.github.io/HPhi/manual/master/en/html/wannier/format.html>

# Antiferromagnetic state in 3D Hubbard model (3)

Make cubic\_hr.dat before running DCore.

```
$ python mk_hr.py → cubic_hr.dat
```

At  $z=0$



In cubic\_hr.dat

In unit cell

```
0 0 0 1 3 -1.0 0.0
0 0 0 3 1 -1.0 0.0
0 0 0 3 7 -1.0 0.0
0 0 0 7 3 -1.0 0.0
0 0 0 7 5 -1.0 0.0
0 0 0 5 7 -1.0 0.0
0 0 0 1 5 -1.0 0.0
0 0 0 5 1 -1.0 0.0
```

Super cell

```
-1 0 0 1 5 -1.0 0.0
1 0 0 5 1 -1.0 0.0
-1 0 0 3 7 -1.0 0.0
1 0 0 7 3 -1.0 0.0
0 1 0 3 1 -1.0 0.0
0 -1 0 1 3 -1.0 0.0
0 1 0 7 5 -1.0 0.0
0 -1 0 5 7 -1.0 0.0
```

# Antiferromagnetic state in 3D Hubbard model (4)

```
[system]
beta = 5.0           Inverse temperature
#half filling (mu=U/2)
mu = 5.0            Chemical potential
fix_mu = True       Fix chemical potential

[impurity_solver]
name = ALPS/cthyb
timelimit{int} = 60  The time (in units of seconds)
                    which the program should run before exiting.
exec_path{str} = hybmat
```

**[impurty\_solver]** specifies an impurity solver to be used and necessary parameters for running the solver program.  
For ALPS/cthyb, see <https://github.com/ALPSCore/CT-HYB/wiki/Input-parameters>

# Antiferromagnetic state in 3D Hubbard model (5)

Generate initial guess for self-energy

```
[control]
max_step = 5
initial_static_self_energy = {0: 'init_se_up.txt', 1: 'init_se_down.txt'}
```

Make `init_se_up.txt` and `init_se_down.txt` before running DCore.

```
$ python mk_init_se.py → init_se_up.txt, init_se_down.txt
```

`init_se_up.txt`

```
# spin orb1 orb2 Re Im
0 0 0 1.0 0.0
1 0 0 -1.0 0.0
```

`init_se_down.txt`

```
# spin orb1 orb2 Re Im
0 0 0 -1.0 0.0
1 0 0 1.0 0.0
```

# Antiferromagnetic state in 3D Hubbard model (6)

- Execute on sekirei ( about 15 minutes)

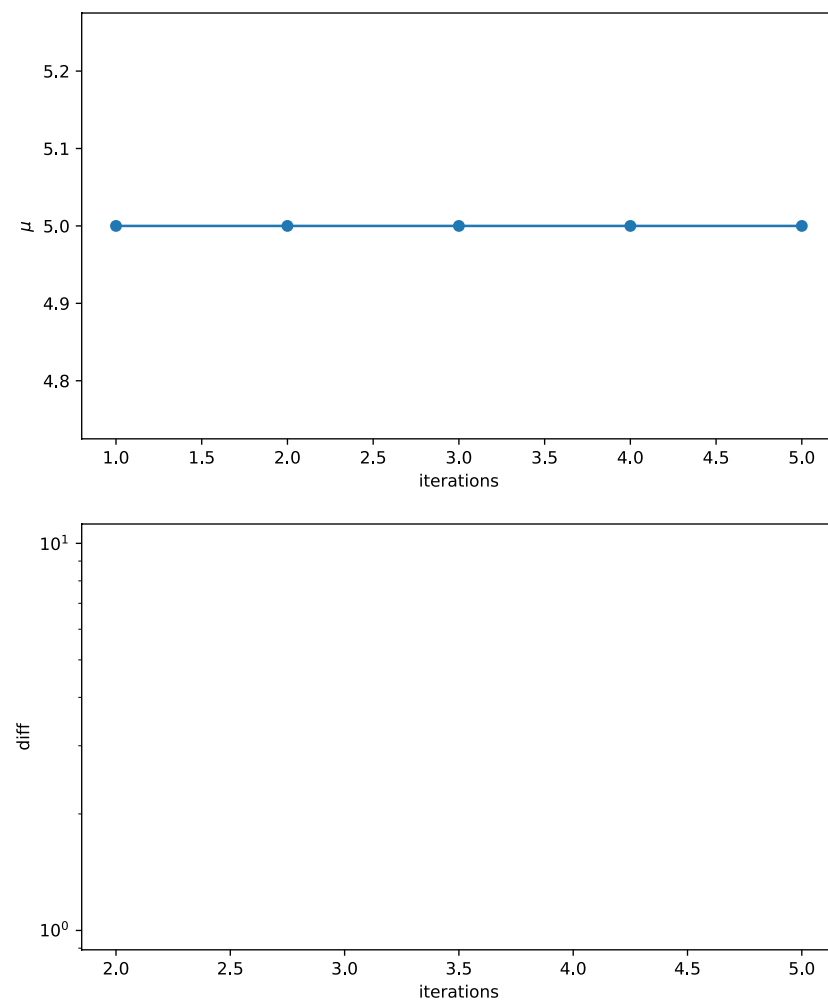
example of job script

```
#!/bin/sh
#QSUB -queue i18cpu
#QSUB -node 4
#QSUB -mpi 96
#QSUB -omp 1
#QSUB -place pack
#QSUB -over false
#PBS -l walltime=00:30:00
#PBS -N dcore

## https://issp-center-dev.github.io/DCore/tutorial/square/square.html
source /home/issp/materiapps/dcore/dcorevars.sh
source /home/issp/materiapps/alpscore-cthyb/alpscore-cthybvars.sh
cd ${PBS_O_WORKDIR}
dcore_pre cubic.ini
dcore cubic.ini --np 96
dcore_check cubic.ini --ext=eps
dcore_post cubic.ini --np 96
```

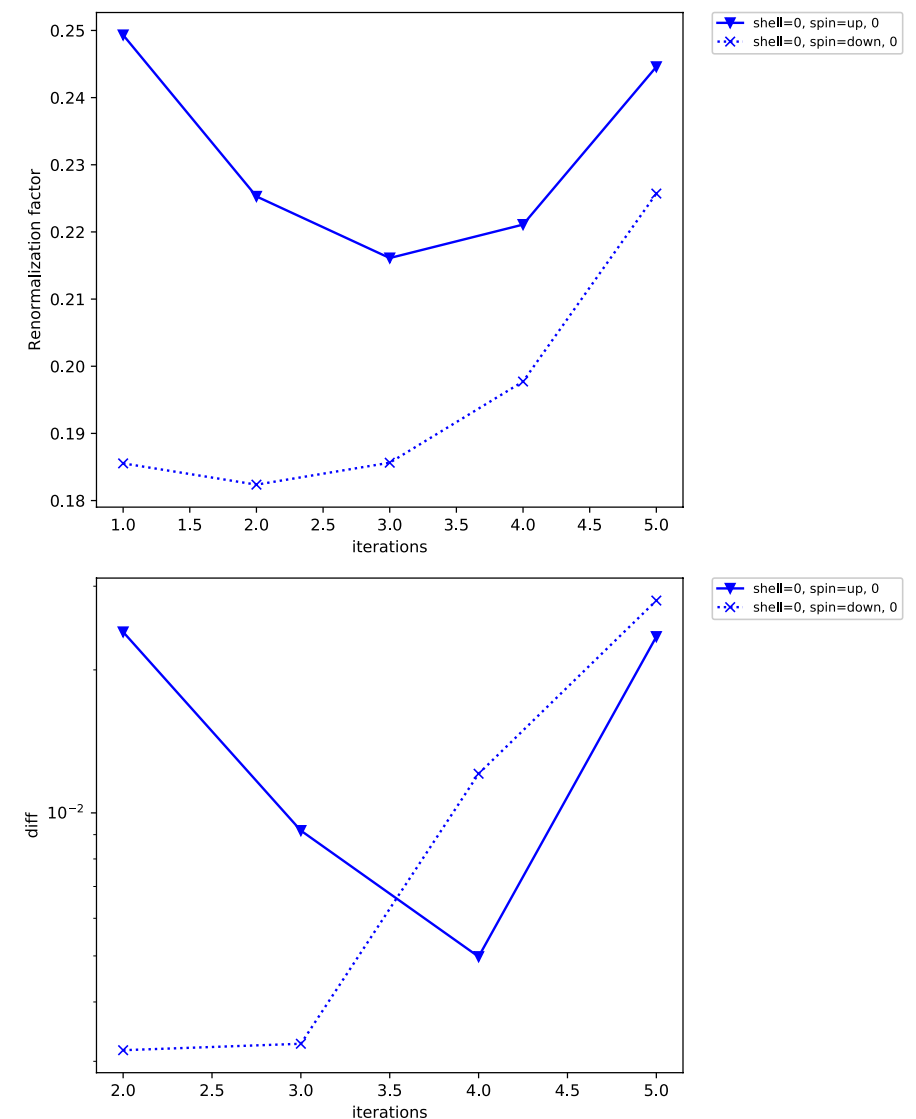
# Antiferromagnetic state in 3D Hubbard model (7)

check/iter\_mu.eps



Chemical potential is fixed.

check/iter\_sigma-ish0.eps



not sufficiently converged

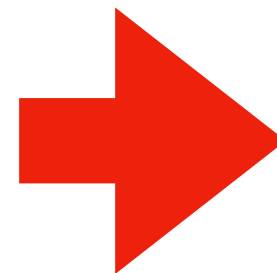
# DFT+DMFT

Using wannier90 format, we can treat realistic materials.

First principles calculation



Wannier90

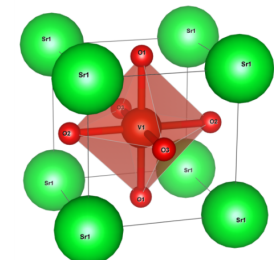


DMFT calculation



- ex. )  $\text{SrVO}_3$

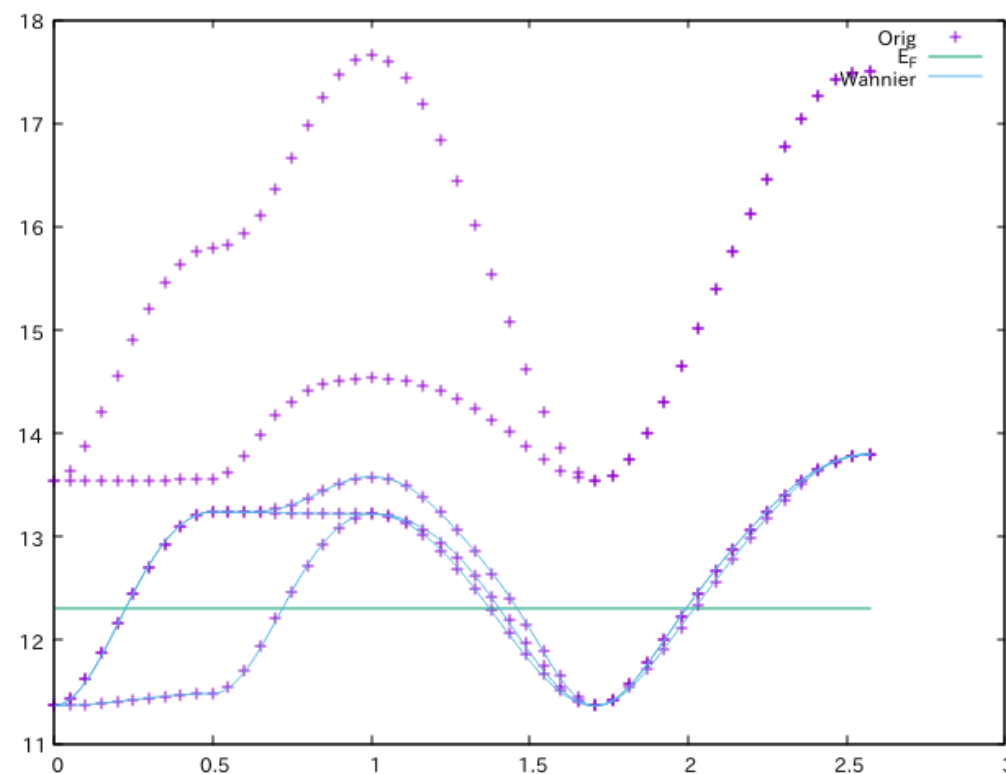
Sample file : `PathToDCore/doc/tutorial/srvo3`



# SrVO<sub>3</sub>(1)

## 0. Construction of Wannier functions

Maximally localized Wannier functions for the  $t_{2g}$  manifold can be constructed by using DFT code.



The procedure of Wannierization is detailed in Wannierization using Quantum ESPRESSO.



# SrVO<sub>3</sub>(2)

## DMFT calculation

ref.) `srvo3.ini`

```
[model]
lattice = wannier90
seedname = srvo3
nelec = 1.0
ncor = 1
norb = 3
kanamori = [(3.419, 2.315, 0.530)]
bvec=[(1.627091,0.0,0.0),(0.0,1.627091,0.0),(0.0,0.0,1.627091)]
nk0 = 10
nk1 = 10
nk2 = 10
```

Interaction = kanamori

$$\mathcal{H}_{\text{int}} = \frac{1}{2} \sum_{i,\alpha\beta\gamma\delta\sigma\sigma'} U_{\alpha\beta\gamma\delta}^i c_{i\alpha\sigma}^\dagger c_{i\beta\sigma'}^\dagger c_{i\delta\sigma'} c_{i\gamma\sigma}$$

$$U_{\alpha\alpha\alpha\alpha}^i = U_i, U_{\alpha\beta\alpha\beta}^i = U'_i (\alpha \neq \beta), U_{\alpha\beta\beta\alpha}^i = J_i (\alpha \neq \beta), U_{\alpha\alpha\beta\beta}^i = J_i (\alpha \neq \beta)$$

```
kanamori = [(U_1, U'_1, J_1), (U_2, U'_2, J_2), ...]
```

define U, U', J at each correlated shell (default: ncorr = 1)

# SrVO<sub>3</sub>(3)

```
[system]
beta = 40.0
mu = 12.290722
with_dc = True

[impurity_solver]
name = ALPS/cthyb
timelimit{int} = 300
exec_path{str} = hybmat

[control]
max_step = 15
time_reversal = True
sigma_mix = 0.8

[tool]
broadening = 0.1
nk_line = 50
nnode = 5
knode=[(G,0.0,0.0,0.0),(X,0.5,0.0,0.0),(M,0.5,0.5,0.0),(G,
0.0,0.0,0.0),(R,0.5,0.5,0.5)]
omega_max = 2.0
omega_min = -2.0
Nomega = 400
omega_check = 30.0
omega_pade = 2.0
```

# SrVO<sub>3</sub>(4)

- Execute on enaga ( finish about an hour)  
example of job script

```
#!/bin/sh
#QSUB -queue F4cpu
#QSUB -node 2
#QSUB -mpi 80
#QSUB -omp 1
#QSUB -place pack
#QSUB -over false
#PBS -l walltime=2:00:00
#PBS -N srvo3
cd $PBS_O_WORKDIR

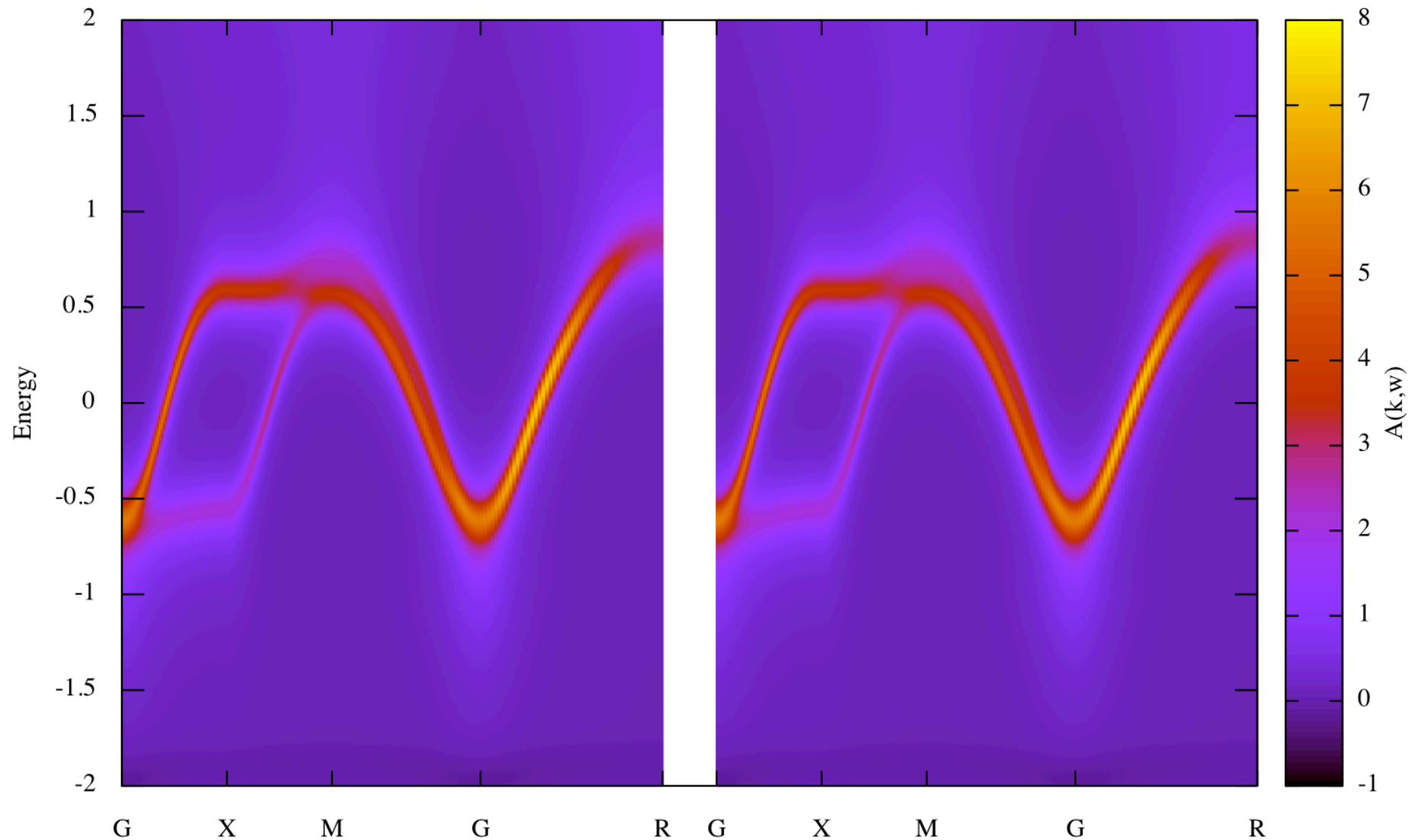
source /home/issp/materiapps/dcore/dcorevars.sh
source /home/issp/materiapps/alpscore-cthyb/alpscore-cthybvars.sh
export MPIRUN="mpijob"

export MPI_LAUNCH_TIMEOUT=40
date
dcore_pre srvo3.ini > output-pre
dcore srvo3.ini --np 80 >> output
dcore_post srvo3.ini --np 80 > output-post
dcore_check srvo3.ini --ext=eps
date
```

# SrVO<sub>3</sub>(5)

post/  
square\_akw.dat

Momentum-resolved spectral function



Not converged at 15-th step → use restart function (practice)